

# Verification of Finite Field Arithmetic Circuits using Ideal Membership Testing

Overcoming the Complexity of Gröbner Bases for Efficient Verification of  
Finite Field and Integer Multipliers

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Nov 22, 2023 - onwards

# Verification using Nullstellensatz over $\mathbb{F}_q$

We have two approaches to verify circuits using the Nullstellensatz

- Verify circuits using the miter model
- Construct a miter, and apply the **Weak Nullstellensatz**
- Construct ideal  $J_m = \langle f_{spec}, f_1, \dots, f_s, f_m \rangle$
- Polynomials  $f_1, \dots, f_s$  are the polynomials from the circuit
- $J_0 =$  ideal of all vanishing polynomials
- Circuit  $\equiv$  Spec if and only if  $GB(J_m + J_0) = \{1\}$ .

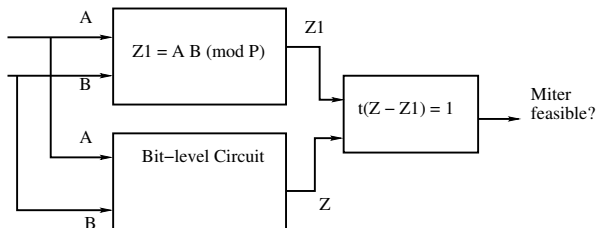


Figure: The equivalence checking setup: miter.

# Second Approach to Verification: Using Ideal Membership

- The **second** approach is based on Ideal Membership
- It uses the concepts of Radical Ideals and the Strong Nullstellensatz
- Today, I will teach the procedure, with an intuitive explanation of the formulation. I will give the proof of correctness of the procedure next week onwards, as it requires the understanding of radical ideals.

# Verification Formulation using Ideal Membership

- We are given a finite field  $\mathbb{F}_{2^k}$ , for a given  $k$ .
- Given a spec polynomial  $f_{spec}$  and an implementation circuit  $C$
- Derive ideal  $J = \langle f_1, \dots, f_s \rangle$ , where  $\{f_1, \dots, f_s\}$  are polynomials from the given circuit  $C$
- It is NOT sufficient to check if  $f_{spec} \in J$ .
- It is **necessary and sufficient** to check if  $f_{spec} \in J + J_0$ , where  $J_0 =$  ideal of all vanishing polynomials.

## Verification Formulation for Finite Field Multipliers

- Setup the verification formulation over the polynomial ring  $R = \mathbb{F}_q[x_1, \dots, x_n]$ ,  $q = 2^k$ .
- Let  $f_{spec} : Z - A \cdot B$  be the specification polynomial.
- From the given circuit implementation  $C$ , derive the polynomials from the gates of the circuit  $\{f_1, \dots, f_s\}$ .
- Let ideal  $J = \langle F \rangle = \langle f_1, \dots, f_s \rangle \subseteq R$ .
- For each variable, create the ideal of vanishing polynomials  $J_0 = \langle Z^q - Z, A^q - A, B^q - B, x_1^2 - x_1, \dots, x_n^2 - x_n \rangle$ .
- Then, the circuit  $C$  implements  $f_{spec} \iff$  (if and only if)  
 $f_{spec} \in (J + J_0) \iff f_{spec} \xrightarrow{GB(J+J_0)}_+ 0$ .

Compute  $G = GB(J + J_0) = \{g_1, \dots, g_t\}$ , and divide  $f_{spec}$  by  $G = \{g_1, \dots, g_t\}$ , and see if the remainder is 0.

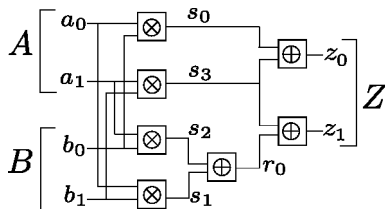
# Verification Formulation: The Mathematical Problem

- Given **specification polynomial**:  $f : Z = A \cdot B \pmod{P(x)}$  over  $\mathbb{F}_{2^k}$ , for given  $k$ , and given  $P(x)$ , s.t.  $P(\alpha) = 0$
- Given **circuit implementation**  $C$ 
  - Primary inputs:  $A = \{a_0, \dots, a_{k-1}\}, B = \{b_0, \dots, b_{k-1}\}$
  - Primary Output  $Z = \{z_0, \dots, z_{k-1}\}$
  - $A = a_0 + a_1\alpha + a_2\alpha^2 + \dots + a_{k-1}\alpha^{k-1}$
  - $B = b_0 + b_1\alpha + \dots + b_{k-1}\alpha^{k-1}, Z = z_0 + z_1\alpha + \dots + z_{k-1}\alpha^{k-1}$
- Does the circuit  $C$  implement  $f$ ?

Mathematically:

- Model the circuit (gates) as polynomials:  $f_1, \dots, f_s$   
 $J = \langle f_1, \dots, f_s \rangle \subset \mathbb{F}_{2^k}[x_1, \dots, x_n]$
- Does  $f$  agree with solutions to  $f_1 = f_2 = \dots = f_s = 0$ ?
- Can the spec  $f$  be written as a combination of  $f_1, \dots, f_s$  and  $J_0$ ?
- Is  $f \xrightarrow{GB(J+J_0)}_+ 0$ ?

# Example Formulation



Gates as polynomials

$\mathbb{F}_2 \subset \mathbb{F}_{2^k}$ :

Ideal  $J$ :

$$z_0 = s_0 + s_3; \mapsto f_1 : z_0 + s_0 + s_3$$

$$s_0 = a_0 \cdot b_0; \mapsto f_2 : s_0 + a_0 \cdot b_0$$

$\vdots$

$$A + a_0 + a_1\alpha; B + b_0 + b_1\alpha; Z + z_0 + z_1\alpha$$

Ideal  $J_0$ :

$$z_0^2 - z_0, s_0^2 - s_0,$$

$\vdots$

$$A^{2^k} - A, B^{2^k} - B, \\ Z^{2^k} - Z$$

# Complexity of Gröbner Basis

- Complexity of Gröbner basis
  - Degree of polynomials in  $G$  is bounded by  $2(\frac{1}{2}d^2 + d)^{2^{n-1}}$  [1]
  - Doubly-exponential in  $n$  and polynomial in the degree  $d$
- This is the complexity of the GB problem, not of Buchberger's algorithm – that's still a mystery
- For  $J \subset \mathbb{F}_q[x_1, \dots, x_n]$ , Complexity  $GB(J + J_0) : q^{O(n)}$  (Single exponential)
- Improving Buchberger's algorithm:
  - Improve term ordering (heuristics)
  - Get to all  $S(f, g) \xrightarrow{G} 0$  quickly; i.e. arrive at a GB quickly (hard to predict)
  - Improve the implementation of polynomial division; ideas proposed by *Faugère* in the  $F_4$  algorithm



# Complexity of Gröbner Basis and Term Orderings

- For  $J \subset \mathbb{F}_q[x_1, \dots, x_n]$ , Complexity  $GB(J + J_0) : q^{O(n)}$
- GB complexity very sensitive to **term ordering**
- A term order has to be imposed for systematic polynomial computation

Let  $f = 2x^2yz + 3xy^3 - 2x^3$

- LEX  $x > y > z$ :  $f = -2x^3 + 2x^2yz + 3xy^3$
- DEGLEX  $x > y > z$ :  $f = 2x^2yz + 3xy^3 - 2x^3$
- DEGREVLEX  $x > y > z$ :  $f = 3xy^3 + 2x^2yz - 2x^3$

Recall, S-polynomial depends on term ordering:

$$S(f, g) = \frac{L}{lt(f)} \cdot f - \frac{L}{lt(g)} \cdot g; \quad L = \text{LCM}(lm(f), lm(g))$$

## The Product Criteria

If  $lm(f) \cdot lm(g) = LCM(lm(f), lm(g))$ , then  $S(f, g) \xrightarrow{G'}_+ 0$ .

LEX:  $x_0 > x_1 > x_2 > x_3$

- $f = x_0x_1 + x_2$ ,  $g = x_1x_2 + x_3$
- $lm(f) = x_0x_1$ ;  $lm(g) = x_1x_2$
- $S(f, g) \xrightarrow{G'}_+ x_0x_3 + x_2^2$

LEX:  $x_3 > x_2 > x_1 > x_0$

- $f = x_2 + x_0x_1$ ,  $g = x_3 + x_1x_2$
- $lm(f) = x_2$ ;  $lm(g) = x_3$ ,  $S(f, g) \xrightarrow{G'}_+ 0$

“Obviate” Buchberger's algorithm... really?

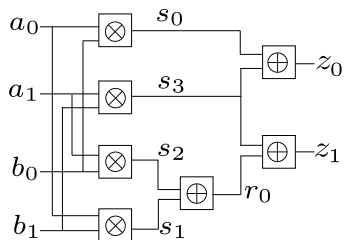
Find a “term order” that makes ALL  $\{lm(f), lm(g)\}$  relatively prime.

## Recall Buchberger's theorem

The set  $G = \{g_1, \dots, g_t\}$  is a Gröbner basis **iff** for all pairs  $(f, g) \in G$ ,  $S(f, g) \xrightarrow{G}_+ 0$

- If we can make leading monomials of all pairs  $lm(f), lm(g)$  relatively prime, then all  $Spoly(f, g)$  reduce to 0
- This would imply that the polynomials already constitute a Gröbner basis
- No need to compute a GB, **may be able to circumvent** the GB complexity issues
- Can a term order be derived that makes leading monomials of all polynomials relatively prime?
  - For an “acyclic” circuit, make the gate output variable  $x_i$  greater than all variables  $x_j$  that are inputs to the gate

For Circuits, such an order can be derived



$$\begin{array}{lll}
 f_1 : s_0 + a_0 \cdot b_0; & f_2 : s_1 + a_0 \cdot b_1; & f_3 : s_2 + a_1 \cdot b_0; \\
 f_4 : s_3 + a_1 \cdot b_1; & f_5 : r_0 + s_1 + s_2; & f_6 : z_0 + s_0 + s_3 \\
 f_7 : z_1 + r_0 + s_3; & f_8 : A + a_0 + a_1\alpha; & f_9 : B + b_0 + b_1\alpha \\
 & f_{10} : Z + z_0 + z_1\alpha; &
 \end{array}$$

- Perform a Reverse Topological Traversal of the circuit, order the variables according to their reverse topological levels
- LEX with  $Z > \{A > B\} > \{z_0 > z_1\} > \{r_0 > s_0 > s_3\} > \{s_1 > s_2\} > \{a_0 > a_1 > b_0 > b_1\}$
- This makes every gate output a leading term, and  $\{f_1, \dots, f_{10}\}$  is a Gröbner basis

Using the Topological Term Order:

- $F = \{f_1, \dots, f_s\}$  is a Gröbner Basis of  $J = \langle f_1, \dots, f_s \rangle$
- $F_0 = \{x_1^q - x_1, \dots, x_n^q - x_n\}$  is also a Gröbner basis of  $J_0$  (these polynomials also have relatively prime leading terms)
- But we have to compute a Gröbner Basis of  $J + J_0 = \langle f_1, f_2, \dots, f_s, x_1^q - x_1, \dots, x_n^q - x_n \rangle$
- It turns out that  $\{f_1, f_2, \dots, f_s, x_1^q - x_1, \dots, x_n^q - x_n\}$  is a Gröbner basis!!
- From our circuit:  $f_i = x_i + \text{tail}(f_i) = x_i + P$
- Vanishing polynomials  $x_i^q - x_i$  with same variable  $x_i$
- Only pairs to consider:  $S(f_i, x_i^q - x_i)$  in Buchberger's Algorithm
- All other pairs will have relatively prime leading terms, which will reduce to 0 modulo  $G$

# This term order renders a Gröbner basis by construction

So, let us compute  $S(f_i = x_i + P, x_i^q - x_i)$ :

$$S(f_i = x_i + P, x_i^q - x_i) = x_i^{q-1}P + x_i$$

$$x_i^{q-1}P + x_i \xrightarrow{x_i+P} x_i^{q-2}P^2 + x_i \xrightarrow{x_i+P} \dots \xrightarrow{x_i+P} P^q - P \xrightarrow{J_0} + 0$$

Since  $P^q - P$  is a vanishing polynomial,  $P^q - P \in J_0$  and  $P^q - P \xrightarrow{J_0} + 0$

Conclusion: The set of polynomials

$F \cup F_0 = \{f_1, \dots, f_s, x_i^q - x_i, \dots, x_n^q - x_n\}$  is itself a Gröbner basis due to the reverse topological term order derived from the circuit!

# Our Minimal Gröbner Basis

Conclusion:

- Our term order makes  $G = \{f_1, \dots, f_s, x_1^q - x_1, \dots, x_n^q - x_n\}$  a Gröbner Basis
- This  $GB(J + J_0)$  can be further simplified (made minimal)
  - Two types of polynomials:  $f_i = x_i + P$ ,  $g_i = x_i^q - x_i$
  - Primary inputs bits are never a leading term of any polynomial
  - Primary inputs are not the output of any gate
- For  $x_i \notin$  primary inputs,  $f_i = x_i + P$  divides  $x_i^q - x_i$ ; remove  $x_i^q - x_i$
- Keep  $J_0 = \langle x_i^2 - x_i : x_i \in \text{primary input bits} \rangle$

Our term order makes  $G = \{f_1, \dots, f_s, x_{PI}^2 - x_{PI}\}$  a **minimal** Gröbner basis **by construction!**

Verify the circuit only by a reduction:  $f \xrightarrow{G}_+ 0?$

# Our Overall Approach

- Given the circuit, perform reverse topological traversal
- Derive the term order to represent the polynomials for every gate, call it the Reverse Topological Term Order (RTTO)  $>$
- The set:  $\{F, F_0\} = \{f_1, \dots, f_s, x_i^2 - x_i : x_i \in X_{PI}\}$  is a minimal Gröbner Basis
- Obtain:  $f \xrightarrow{F, F_0} r$
- If  $r = 0$ , the circuit is verified correct
- If  $r \neq 0$ , then  $r$  contains only the **primary input variables**
- Any SAT assignment to  $r \neq 0$  generates a counter-example
- Counter-example found in no time as  $r$  is simplified by Gröbner basis reduction



# Move the complexity to that of Polynomial Division

## Is this Magic? Or have I told you the full story?

- Reduce  $x^n$  modulo  $\langle x + P \rangle$ , how many cancellations?
  - Requires raising  $P$  to the  $n^{\text{th}}$  power
  - $P$  is the  $\text{tail}(f_i)$
  - Depending upon  $n$ , this can become complicated
- **Reduce** this **minimal** GB  $G = \{F, F_0\}$ , what does it look like?
  - $f_i = x_i + \text{tail}(f_i)$ , where  $\text{tail}(f_i) = P(x_j)$ ,  $x_i > x_j$
  - There exists  $f_j = x_j + \text{tail}(f_j)$ , where  $f_j \mid P(x_j)$
  - All non-PI variables  $x_j$  can be canceled in this reduction
  - Reduction results in GB  $G$  with only primary input variables, potentially explosive

This approach should work for specification polynomials  $f$  with low degree terms

# Experiments: Correctness Proof, Miter Mastrovito v/s Montgomery Multipliers

Table: Verification Results of SAT, SMT, BDD, ABC.

	Word size of the operands $k$ -bits		
Solver	8	12	16
MiniSAT	22.55	<i>TO</i>	<i>TO</i>
CryptoMiniSAT	7.17	16082.40	<i>TO</i>
PrecoSAT	7.94	<i>TO</i>	<i>TO</i>
PicoSAT	14.85	<i>TO</i>	<i>TO</i>
Yices	10.48	<i>TO</i>	<i>TO</i>
Beaver	6.31	<i>TO</i>	<i>TO</i>
CVC	<i>TO</i>	<i>TO</i>	<i>TO</i>
Z3	85.46	<i>TO</i>	<i>TO</i>
Boolector	5.03	<i>TO</i>	<i>TO</i>
SimplifyingSTP	14.66	<i>TO</i>	<i>TO</i>
ABC	242.78	<i>TO</i>	<i>TO</i>
BDD	0.10	14.14	1899.69

## Experimental Results: Correctness Proof

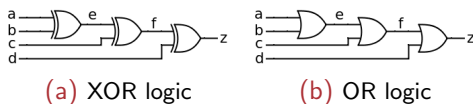
Verify a specification polynomial  $f$  against a circuit  $C$  by performing the test  $f \xrightarrow{J+J_0} + 0?$

**Table:** Verify bug-free and buggy Mastrovito multipliers. SINGULAR computer algebra tool used for division.

Size $k$ -bits	32	64	96	128	160	163
#variables	1155	4355	9603	16899	26243	27224
#polynomials	1091	4227	9411	16643	25923	26989
#terms	7169	28673	64513	114689	179201	185984
Compute-GB:	93.80	<i>MO</i>	<i>MO</i>	<i>MO</i>	<i>MO</i>	<i>MO</i>
Ours: Bug-free	1.41	112.13	758.82	3054	9361	16170
Ours: Bugs	1.43	114.86	788.65	3061	9384	16368

Why does Compute-GB (SINGULAR) run out of memory?

# Limitations of RTTO-based GB-reduction



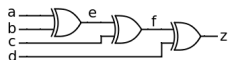
For XOR logic:

$$f_1 : z + f + d \quad f_2 : f + e + c \quad f_3 : e + b + a$$

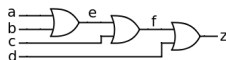
The reduction procedure  $z \xrightarrow{f_1, f_2, f_3} r$  will be computed as follows:

- $z \xrightarrow{z+f+d} f + d$
- $(f + d) \xrightarrow{f+e+c} e + d + c$
- $(e + d + c) \xrightarrow{e+b+a} d + c + b + a$

# Limitations of GB-Reduction: OR-gates explode



(c) XOR logic



(d) OR logic

For OR logic:

$$f_1 : z + fd + f + d \quad f_2 : f + ec + e + c \quad f_3 : e + ba + b + a$$

The reduction procedure,  $z \xrightarrow{f_1, f_2, f_3} r$  is now computed as:

- $z \xrightarrow{z+fd+f+d} fd + f + d$
- $(fd + f + d) \xrightarrow{f+ec+e+c} f + edc + ed + dc + d;$   
 $(f + edc + ed + dc + d) \xrightarrow{f+ec+e+c} edc + ed + ec + e + dc + d + c$
- $(edc + ed + ec + e + dc + d + c) \xrightarrow{e+ba+b+a} r$   
 $dcba + dc + db + da + d + cba + cb + ca + c + ba + b + a$

# Verification of Integer Multipliers

- Use the same ideal membership approach to verify integer multipliers
- Consider a 2-bit (integer multiplier) circuit. Prove that it is an integer multiplier! Or prove that it is buggy.

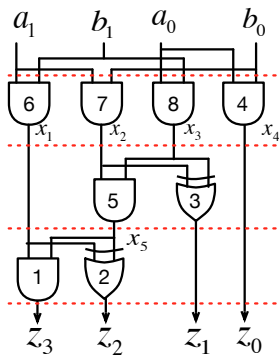


Figure: Integer multiplier circuit

# Integer Arithmetic Verification Model

- What is the spec?
- Output word:  $z_0 + 2z_1 + 4z_2 + 8z_3$ ,  $z_i$  are bits  $\{0, 1\}$
- Input words:  $a_0 + 2a_1$ ,  $b_0 + 2b_1$ .
- $f_{spec} : z_0 + 2z_1 + 4z_2 + 8z_3 = (a_0 + 2a_1)(b_0 + 2b_1)$
- In polynomial form:  $f_{spec} : z_0 + 2z_1 + 4z_2 + 8z_3 - (a_0 + 2a_1)(b_0 + 2b_1)$
- Note  $f_{spec}$  has coefficients in  $\mathbb{Z}$ , but  $\mathbb{Z}$  is NOT a field, so we cannot apply Nullstellensatz!
- Trick: Model the problem over  $\mathbb{Q}[x_1, \dots, x_n]$ , BUT, use the same RTTO order (important)
- How to model Boolean logic gates over  $\mathbb{Q}$ ?

# Model Logic Gates over $\mathbb{Q}$

$$z = \neg a \mapsto z = 1 - a \mapsto z - 1 + a$$

$$z = a \wedge b \mapsto z = a \cdot b \mapsto z - a \cdot b$$

$$z = a \vee b \mapsto z = a + b - a \cdot b \mapsto z - a - b + ab$$

$$z = a \oplus b \mapsto z = a + b - 2 \cdot a \cdot b \mapsto z - a - b + 2ab$$

- This requires that every variable take **binary** values:  $a^2 = a$  or  $J_0 = \langle a^2 - a, b^2 - b, \dots, z^2 - z \rangle$
- Construct ideal  $J$  from logic gates, add bit-level vanishing polynomials  $J_0$
- What is the leading term of polynomials in  $J$  under RTTO?
- Gate output is the leading term, and leading coefficient = 1
- Divide by  $lc(f) = 1$ , division will NEVER produce fractions!



# Verification $f_{spec} \pmod{J + J_0}$ under RTTO

$$\begin{aligned}
 Z &= 8z_3 + 4z_2 + 2z_1 + z_0 \\
 &= \underline{8x_1x_2x_3} + (4x_1 + \underbrace{4x_2x_3 - 8x_1x_2x_3}) \\
 &\quad + (2x_2 + 2x_3 - \underbrace{4x_2x_3}) + x_4 \\
 &= 4x_1 + 2x_2 + 2x_3 + x_4
 \end{aligned}$$

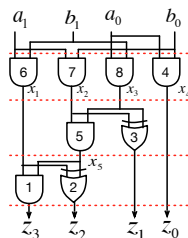


Figure: Integer multiplier circuit

- Ring  $R = 0, (z_3, z_2, z_1, z_0, x_5, x_1, x_2, x_3, x_4, a_0, a_1, b_0, b_1), lp;$
- Circuit is an integer multiplier if  $f_{spec} \xrightarrow{J+J_0} + 0$ .

## The Key to Success in Design Automation

- Build algorithms and techniques on solid theoretical foundations
- Use all of the mathematical tools at your disposal
- Make sure to exploit circuit structure
- Develop domain-specific implementations
- That's what SAT, BDDs, AIGs do too!



T. W. Dube, “The Structure of Polynomial Ideals and Gröbner bases,”  
*SIAM Journal of Computing*, vol. 19, no. 4, pp. 750–773, 1990.