

Ideals, Varieties and Symbolic Computation

Priyank Kalla



Associate Professor
Electrical and Computer Engineering, University of Utah
kalla@ece.utah.edu
<http://www.ece.utah.edu/~kalla>

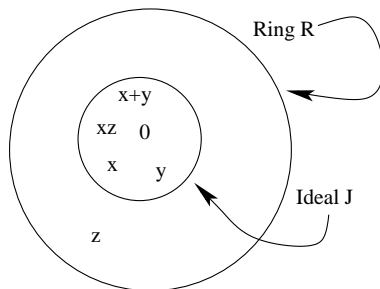
Lectures: Sept 25, 2017 onwards

Agenda:

- Wish to build a polynomial algebra model for hardware
- Modulo arithmetic model is versatile: can represent both *bit-level* and *word-level* constraints
- To build the algebraic/modulo arithmetic model:
 - Rings, Fields, Modulo arithmetic
 - Polynomials, Polynomial functions, Polynomial Rings
 - **Ideals, Varieties, Symbolic Computing and Gröbner Bases**
 - **Decision procedures in verification**

$R = \text{ring}$, Ideal $J \subseteq R$,
s.t.:

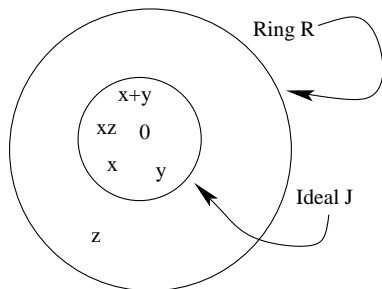
- $0 \in J$
- $\forall x, y \in J, x + y \in J$
- $\forall x \in J, z \in R, x \cdot z \in J$



$R = \text{ring}$, Ideal $J \subseteq R$,

s.t.:

- $0 \in J$
- $\forall x, y \in J, x + y \in J$
- $\forall x \in J, z \in R, x \cdot z \in J$



- Examples of Ideals: $R = \mathbb{Z}, J = 2\mathbb{Z}, 3\mathbb{Z}, \dots, n\mathbb{Z}$
- Ideals versus Subrings: $\mathbb{Z} \subset \mathbb{Q}$, but \mathbb{Z} not an ideal in \mathbb{Q}
- $1 \in \text{Ring } R$, but 1 need not be in ideal J

Definition

Ideals of Polynomials: Let $f_1, f_2, \dots, f_s \in R = \mathbb{F}[x_1, \dots, x_d]$. Let

$$J = \langle f_1, f_2, \dots, f_s \rangle = \{f_1 h_1 + f_2 h_2 + \dots + f_s h_s : h_1, \dots, h_s \in R\}$$

$J = \langle f_1, f_2, \dots, f_s \rangle$ is an ideal generated by f_1, \dots, f_s and the polynomials are called the generators (basis) of J . [Note, h_i : arbitrary elements in R]

Definition

Ideals of Polynomials: Let $f_1, f_2, \dots, f_s \in R = \mathbb{F}[x_1, \dots, x_d]$. Let

$$J = \langle f_1, f_2, \dots, f_s \rangle = \{f_1 h_1 + f_2 h_2 + \dots + f_s h_s : h_1, \dots, h_s \in R\}$$

$J = \langle f_1, f_2, \dots, f_s \rangle$ is an ideal generated by f_1, \dots, f_s and the polynomials are called the generators (basis) of J . [Note, h_i : arbitrary elements in R]

Given the above definition, prove that J is indeed an ideal.

Definition

Ideals of Polynomials: Let $f_1, f_2, \dots, f_s \in R = \mathbb{F}[x_1, \dots, x_d]$. Let

$$J = \langle f_1, f_2, \dots, f_s \rangle = \{f_1 h_1 + f_2 h_2 + \dots + f_s h_s : h_1, \dots, h_s \in R\}$$

$J = \langle f_1, f_2, \dots, f_s \rangle$ is an ideal generated by f_1, \dots, f_s and the polynomials are called the generators (basis) of J . [Note, h_i : arbitrary elements in R]

Given the above definition, prove that J is indeed an ideal.

Is $0 \in J$?

Definition

Ideals of Polynomials: Let $f_1, f_2, \dots, f_s \in R = \mathbb{F}[x_1, \dots, x_d]$. Let

$$J = \langle f_1, f_2, \dots, f_s \rangle = \{f_1 h_1 + f_2 h_2 + \dots + f_s h_s : h_1, \dots, h_s \in R\}$$

$J = \langle f_1, f_2, \dots, f_s \rangle$ is an ideal generated by f_1, \dots, f_s and the polynomials are called the generators (basis) of J . [Note, h_i : arbitrary elements in R]

Given the above definition, prove that J is indeed an ideal.

Is $0 \in J$? Put $h_i = 0$

Definition

Ideals of Polynomials: Let $f_1, f_2, \dots, f_s \in R = \mathbb{F}[x_1, \dots, x_d]$. Let

$$J = \langle f_1, f_2, \dots, f_s \rangle = \{f_1 h_1 + f_2 h_2 + \dots + f_s h_s : h_1, \dots, h_s \in R\}$$

$J = \langle f_1, f_2, \dots, f_s \rangle$ is an ideal generated by f_1, \dots, f_s and the polynomials are called the generators (basis) of J . [Note, h_i : arbitrary elements in R]

Given the above definition, prove that J is indeed an ideal.

Is $0 \in J$? Put $h_i = 0$

Given $f_i, f_j \in J$ is $f_i + f_j \in J$?

Definition

Ideals of Polynomials: Let $f_1, f_2, \dots, f_s \in R = \mathbb{F}[x_1, \dots, x_d]$. Let

$$J = \langle f_1, f_2, \dots, f_s \rangle = \{f_1 h_1 + f_2 h_2 + \dots + f_s h_s : h_1, \dots, h_s \in R\}$$

$J = \langle f_1, f_2, \dots, f_s \rangle$ is an ideal generated by f_1, \dots, f_s and the polynomials are called the generators (basis) of J . [Note, h_i : arbitrary elements in R]

Given the above definition, prove that J is indeed an ideal.

Is $0 \in J$? Put $h_i = 0$

Given $f_i, f_j \in J$ is $f_i + f_j \in J$? Put $h_i, h_j = 1$

Definition

Ideals of Polynomials: Let $f_1, f_2, \dots, f_s \in R = \mathbb{F}[x_1, \dots, x_d]$. Let

$$J = \langle f_1, f_2, \dots, f_s \rangle = \{f_1 h_1 + f_2 h_2 + \dots + f_s h_s : h_1, \dots, h_s \in R\}$$

$J = \langle f_1, f_2, \dots, f_s \rangle$ is an ideal generated by f_1, \dots, f_s and the polynomials are called the generators (basis) of J . [Note, h_i : arbitrary elements in R]

Given the above definition, prove that J is indeed an ideal.

Is $0 \in J$? Put $h_i = 0$

Given $f_i, f_j \in J$ is $f_i + f_j \in J$? Put $h_i, h_j = 1$

Given $f_i \in J, h_i \in R$ is $f_i \cdot h_i \in J$?

- An ideal may have many different generators
- It is possible to have:
$$J = \langle f_1, \dots, f_s \rangle = \langle p_1, \dots, p_l \rangle = \dots = \langle g_1, \dots, g_t \rangle$$
- Where $f_i, p_j, g_k \in \mathbb{F}[x_1, \dots, x_d]$ and $J \subseteq \mathbb{F}[x_1, \dots, x_d]$
- Does there exist a **Canonical** representation of an ideal?
- A **Gröbner Basis** is a canonical representation of the ideal, with **many nice properties** that allow to solve many polynomial decision questions
- Buchberger's Algorithm allows to compute a Gröbner Basis
 - Given $F = \{f_1, \dots, f_s\} \in \mathbb{R}[x_1, \dots, x_d]$

- An ideal may have many different generators
- It is possible to have:
$$J = \langle f_1, \dots, f_s \rangle = \langle p_1, \dots, p_l \rangle = \dots = \langle g_1, \dots, g_t \rangle$$
- Where $f_i, p_j, g_k \in \mathbb{F}[x_1, \dots, x_d]$ and $J \subseteq \mathbb{F}[x_1, \dots, x_d]$
- Does there exist a **Canonical** representation of an ideal?
- A **Gröbner Basis** is a canonical representation of the ideal, with **many nice properties** that allow to solve many polynomial decision questions
- Buchberger's Algorithm allows to compute a Gröbner Basis
 - Given $F = \{f_1, \dots, f_s\} \in \mathbb{R}[x_1, \dots, x_d]$
 - It finds $G = \{g_1, \dots, g_t\}$, such that

- An ideal may have many different generators
- It is possible to have:
$$J = \langle f_1, \dots, f_s \rangle = \langle p_1, \dots, p_l \rangle = \dots = \langle g_1, \dots, g_t \rangle$$
- Where $f_i, p_j, g_k \in \mathbb{F}[x_1, \dots, x_d]$ and $J \subseteq \mathbb{F}[x_1, \dots, x_d]$
- Does there exist a **Canonical** representation of an ideal?
- A **Gröbner Basis** is a canonical representation of the ideal, with **many nice properties** that allow to solve many polynomial decision questions
- Buchberger's Algorithm allows to compute a Gröbner Basis
 - Given $F = \{f_1, \dots, f_s\} \in \mathbb{R}[x_1, \dots, x_d]$
 - It finds $G = \{g_1, \dots, g_t\}$, such that
 - $J = \langle F \rangle = \langle G \rangle$

- An ideal may have many different generators
- It is possible to have:
$$J = \langle f_1, \dots, f_s \rangle = \langle p_1, \dots, p_l \rangle = \dots = \langle g_1, \dots, g_t \rangle$$
- Where $f_i, p_j, g_k \in \mathbb{F}[x_1, \dots, x_d]$ and $J \subseteq \mathbb{F}[x_1, \dots, x_d]$
- Does there exist a **Canonical** representation of an ideal?
- A **Gröbner Basis** is a canonical representation of the ideal, with **many nice properties** that allow to solve many polynomial decision questions
- Buchberger's Algorithm allows to compute a Gröbner Basis
 - Given $F = \{f_1, \dots, f_s\} \in \mathbb{R}[x_1, \dots, x_d]$
 - It finds $G = \{g_1, \dots, g_t\}$, such that
 - $J = \langle F \rangle = \langle G \rangle$
 - Why is this important? [We'll see a little later....]

Example of Ideal Generators

- $I_1 = \langle f_1, f_2 \rangle \subset Q[x, y]$

Example of Ideal Generators

- $I_1 = \langle f_1, f_2 \rangle \subset Q[x, y]$
- $f_1 = x^2 - 4; \quad f_2 = y^2 - 1$

Example of Ideal Generators

- $I_1 = \langle f_1, f_2 \rangle \subset Q[x, y]$
- $f_1 = x^2 - 4; \quad f_2 = y^2 - 1$
- $I_2 = \langle g_1, g_2 \rangle \subset Q[x, y]$

Example of Ideal Generators

- $I_1 = \langle f_1, f_2 \rangle \subset Q[x, y]$
- $f_1 = x^2 - 4; \quad f_2 = y^2 - 1$
- $I_2 = \langle g_1, g_2 \rangle \subset Q[x, y]$
- $g_1 = 2x^2 + 3y^2 - 11; \quad g_2 = x^2 - y^2 - 3;$

Example of Ideal Generators

- $I_1 = \langle f_1, f_2 \rangle \subset Q[x, y]$
- $f_1 = x^2 - 4$; $f_2 = y^2 - 1$
- $I_2 = \langle g_1, g_2 \rangle \subset Q[x, y]$
- $g_1 = 2x^2 + 3y^2 - 11$; $g_2 = x^2 - y^2 - 3$;
- Is $g_1 \in I_1$? Is $g_2 \in I_1$?

Example of Ideal Generators

- $I_1 = \langle f_1, f_2 \rangle \subset Q[x, y]$
- $f_1 = x^2 - 4$; $f_2 = y^2 - 1$
- $I_2 = \langle g_1, g_2 \rangle \subset Q[x, y]$
- $g_1 = 2x^2 + 3y^2 - 11$; $g_2 = x^2 - y^2 - 3$;
- Is $g_1 \in I_1$? Is $g_2 \in I_1$?
- $g_1 = 2f_1 + 3f_2$; $g_2 = f_1 - f_2$; $\implies g_1, g_2 \in I_1$, so $I_2 \subseteq I_1$.

Example of Ideal Generators

- $I_1 = \langle f_1, f_2 \rangle \subset Q[x, y]$
- $f_1 = x^2 - 4; \quad f_2 = y^2 - 1$
- $I_2 = \langle g_1, g_2 \rangle \subset Q[x, y]$
- $g_1 = 2x^2 + 3y^2 - 11; \quad g_2 = x^2 - y^2 - 3;$
- Is $g_1 \in I_1$? Is $g_2 \in I_1$?
- $g_1 = 2f_1 + 3f_2; \quad g_2 = f_1 - f_2; \implies g_1, g_2 \in I_1, \text{ so } I_2 \subseteq I_1.$
- Similarly, show that $f_1, f_2 \subseteq I_2$

Example of Ideal Generators

- $I_1 = \langle f_1, f_2 \rangle \subset Q[x, y]$
- $f_1 = x^2 - 4$; $f_2 = y^2 - 1$
- $I_2 = \langle g_1, g_2 \rangle \subset Q[x, y]$
- $g_1 = 2x^2 + 3y^2 - 11$; $g_2 = x^2 - y^2 - 3$;
- Is $g_1 \in I_1$? Is $g_2 \in I_1$?
- $g_1 = 2f_1 + 3f_2$; $g_2 = f_1 - f_2$; $\implies g_1, g_2 \in I_1$, so $I_2 \subseteq I_1$.
- Similarly, show that $I_1, I_2 \subseteq I_2$
- If $I_1 \subset I_2$, and $I_2 \subset I_1$ then $I_1 = I_2$

Example of Ideal Generators

- $I_1 = \langle f_1, f_2 \rangle \subset Q[x, y]$
- $f_1 = x^2 - 4$; $f_2 = y^2 - 1$
- $I_2 = \langle g_1, g_2 \rangle \subset Q[x, y]$
- $g_1 = 2x^2 + 3y^2 - 11$; $g_2 = x^2 - y^2 - 3$;
- Is $g_1 \in I_1$? Is $g_2 \in I_1$?
- $g_1 = 2f_1 + 3f_2$; $g_2 = f_1 - f_2$; $\implies g_1, g_2 \in I_1$, so $I_2 \subseteq I_1$.
- Similarly, show that $I_1, I_2 \subseteq I_2$
- If $I_1 \subset I_2$, and $I_2 \subset I_1$ then $I_1 = I_2$

Example of Ideal Generators

- $I_1 = \langle f_1, f_2 \rangle \subset Q[x, y]$
- $f_1 = x^2 - 4; f_2 = y^2 - 1$
- $I_2 = \langle g_1, g_2 \rangle \subset Q[x, y]$
- $g_1 = 2x^2 + 3y^2 - 11; g_2 = x^2 - y^2 - 3;$
- Is $g_1 \in I_1$? Is $g_2 \in I_1$?
- $g_1 = 2f_1 + 3f_2; g_2 = f_1 - f_2; \implies g_1, g_2 \in I_1$, so $I_2 \subseteq I_1$.
- Similarly, show that $I_1, I_2 \subseteq I_2$
- If $I_1 \subset I_2$, and $I_2 \subset I_1$ then $I_1 = I_2$

The Ideal Membership Testing Problem

Given $R = \mathbb{F}[x_1, \dots, x_d], f_1, \dots, f_s, f \in R$, let $J = \langle f_1, \dots, f_s \rangle \subseteq R$. Find out whether $f \in J$?

Example of Ideal Generators

- $I_1 = \langle f_1, f_2 \rangle \subset Q[x, y]$
- $f_1 = x^2 - 4; \quad f_2 = y^2 - 1$
- $I_2 = \langle g_1, g_2 \rangle \subset Q[x, y]$
- $g_1 = 2x^2 + 3y^2 - 11; \quad g_2 = x^2 - y^2 - 3;$
- Is $g_1 \in I_1$? Is $g_2 \in I_1$?
- $g_1 = 2f_1 + 3f_2; \quad g_2 = f_1 - f_2; \implies g_1, g_2 \in I_1, \text{ so } I_2 \subseteq I_1.$
- Similarly, show that $f_1, f_2 \subseteq I_2$
- If $I_1 \subset I_2$, and $I_2 \subset I_1$ then $I_1 = I_2$

The Ideal Membership Testing Problem

Given $R = \mathbb{F}[x_1, \dots, x_d], f_1, \dots, f_s, f \in R$, let $J = \langle f_1, \dots, f_s \rangle \subseteq R$. Find out whether $f \in J$?

f = specification, J = implementation, Do an equivalence check: Is $f \in J$? [Or something like that...]

Given $R = \mathbb{F}[x_1, \dots, x_d]$, $f_1, \dots, f_s \in R$, let $J = \langle f_1, \dots, f_s \rangle \subseteq R$. The set of all solutions to:

$$f_1 = f_2 = \dots = f_s = 0$$

is called the variety $V(f_1, \dots, f_s)$

Given $R = \mathbb{F}[x_1, \dots, x_d]$, $f_1, \dots, f_s \in R$, let $J = \langle f_1, \dots, f_s \rangle \subseteq R$. The set of all solutions to:

$$f_1 = f_2 = \dots = f_s = 0$$

is called the variety $V(f_1, \dots, f_s)$

Variety depends not just on the given set of polynomials f_1, \dots, f_s , but rather on the ideal $J = \langle f_1, \dots, f_s \rangle$ generated by these polynomials.

Given $R = \mathbb{F}[x_1, \dots, x_d]$, $f_1, \dots, f_s \in R$, let $J = \langle f_1, \dots, f_s \rangle \subseteq R$. The set of all solutions to:

$$f_1 = f_2 = \dots = f_s = 0$$

is called the variety $V(f_1, \dots, f_s)$

Variety depends not just on the given set of polynomials f_1, \dots, f_s , but rather on the ideal $J = \langle f_1, \dots, f_s \rangle$ generated by these polynomials.

$J = \langle f_1, \dots, f_s \rangle = \langle g_1, \dots, g_t \rangle$, then $V(f_1, \dots, f_s) = V(g_1, \dots, g_t)$

Prove that Variety depends on the Ideal

- Given $J = \langle f_1, \dots, f_s \rangle \subseteq \mathbb{F}[x_1, \dots, x_d]$

Prove that Variety depends on the Ideal

- Given $J = \langle f_1, \dots, f_s \rangle \subseteq \mathbb{F}[x_1, \dots, x_d]$
- Let $\mathbf{a} = (a_1, \dots, a_d)$ be a point in \mathbb{F}^d

Prove that Variety depends on the Ideal

- Given $J = \langle f_1, \dots, f_s \rangle \subseteq \mathbb{F}[x_1, \dots, x_d]$
- Let $\mathbf{a} = (a_1, \dots, a_d)$ be a point in \mathbb{F}^d
- Let $\mathbf{a} \in V(J)$

Prove that Variety depends on the Ideal

- Given $J = \langle f_1, \dots, f_s \rangle \subseteq \mathbb{F}[x_1, \dots, x_d]$
- Let $\mathbf{a} = (a_1, \dots, a_d)$ be a point in \mathbb{F}^d
- Let $\mathbf{a} \in V(J)$
- Then $f_1(\mathbf{a}) = \dots = f_s(\mathbf{a}) = 0$

Prove that Variety depends on the Ideal

- Given $J = \langle f_1, \dots, f_s \rangle \subseteq \mathbb{F}[x_1, \dots, x_d]$
- Let $\mathbf{a} = (a_1, \dots, a_d)$ be a point in \mathbb{F}^d
- Let $\mathbf{a} \in V(J)$
- Then $f_1(\mathbf{a}) = \dots = f_s(\mathbf{a}) = 0$
- Let $f \in J$

Prove that Variety depends on the Ideal

- Given $J = \langle f_1, \dots, f_s \rangle \subseteq \mathbb{F}[x_1, \dots, x_d]$
- Let $\mathbf{a} = (a_1, \dots, a_d)$ be a point in \mathbb{F}^d
- Let $\mathbf{a} \in V(J)$
- Then $f_1(\mathbf{a}) = \dots = f_s(\mathbf{a}) = 0$
- Let $f \in J$
- Is $f(\mathbf{a}) = 0$?

Prove that Variety depends on the Ideal

- Given $J = \langle f_1, \dots, f_s \rangle \subseteq \mathbb{F}[x_1, \dots, x_d]$
- Let $\mathbf{a} = (a_1, \dots, a_d)$ be a point in \mathbb{F}^d
- Let $\mathbf{a} \in V(J)$
- Then $f_1(\mathbf{a}) = \dots = f_s(\mathbf{a}) = 0$
- Let $f \in J$
- Is $f(\mathbf{a}) = 0$?
- $f = f_1 h_1 + \dots + f_s h_s$

Prove that Variety depends on the Ideal

- Given $J = \langle f_1, \dots, f_s \rangle \subseteq \mathbb{F}[x_1, \dots, x_d]$
- Let $\mathbf{a} = (a_1, \dots, a_d)$ be a point in \mathbb{F}^d
- Let $\mathbf{a} \in V(J)$
- Then $f_1(\mathbf{a}) = \dots = f_s(\mathbf{a}) = 0$
- Let $f \in J$
- Is $f(\mathbf{a}) = 0$?
- $f = f_1 h_1 + \dots + f_s h_s$
- $f(\mathbf{a}) = f_1(\mathbf{a})h_1 + \dots + f_s(\mathbf{a})h_s = 0$

Prove that Variety depends on the Ideal

- Given $J = \langle f_1, \dots, f_s \rangle \subseteq \mathbb{F}[x_1, \dots, x_d]$
- Let $\mathbf{a} = (a_1, \dots, a_d)$ be a point in \mathbb{F}^d
- Let $\mathbf{a} \in V(J)$
- Then $f_1(\mathbf{a}) = \dots = f_s(\mathbf{a}) = 0$
- Let $f \in J$
- Is $f(\mathbf{a}) = 0$?
- $f = f_1 h_1 + \dots + f_s h_s$
- $f(\mathbf{a}) = f_1(\mathbf{a}) h_1 + \dots + f_s(\mathbf{a}) h_s = 0$
- Extend the argument to all $f \in J$ for all $\mathbf{a} \in V(J)$, and you can show that Variety depends on the ideal $J = \langle f_1, \dots, f_s \rangle$, not just on the set of polynomials $F = \{f_1, \dots, f_s\}$

Example of Ideal Generators

- $I_1 = \langle f_1, f_2 \rangle \subset Q[x, y]$
- $f_1 = x^2 - 4; f_2 = y^2 - 1$
- $I_2 = \langle g_1, g_2 \rangle \subset Q[x, y]$
- $g_1 = 2x^2 + 3y^2 - 11; g_2 = x^2 - y^2 - 3;$
- Is $g_1 \in I_1$? Is $g_2 \in I_1$?
- $g_1 = 2f_1 + 3f_2; g_2 = f_1 - f_2; \implies g_1, g_2 \in I_1$, so $I_2 \subseteq I_1$.
- Similarly, show that $f_1, f_2 \subseteq I_2$
- If $I_1 \subset I_2$, and $I_2 \subset I_1$ then $I_1 = I_2$

Note $V(I_1) = V(I_2) = \{(\pm 2, \pm 1)\}$