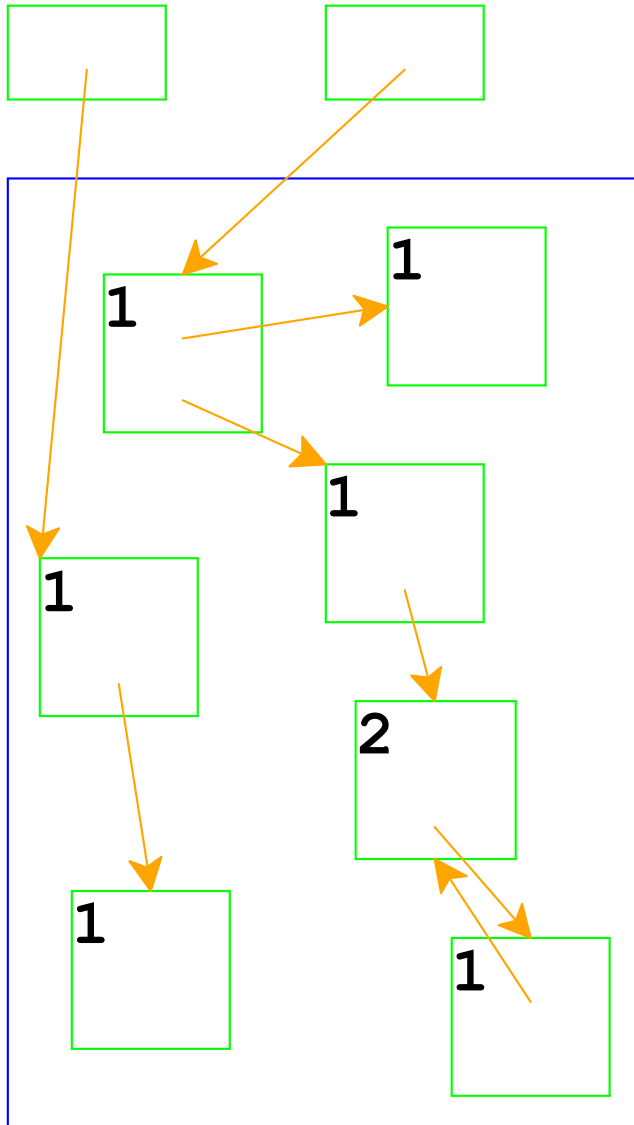


# Reference Counting

**Reference counting:** a way to know whether a record has other users

- Attach a count to every record, starting at 0
- When installing a pointer to a record (into a register or another record), increment its count
- When replacing a pointer to a record, decrement its count
- When a count is decremented to 0, decrement counts for other records referenced by the record, then free it

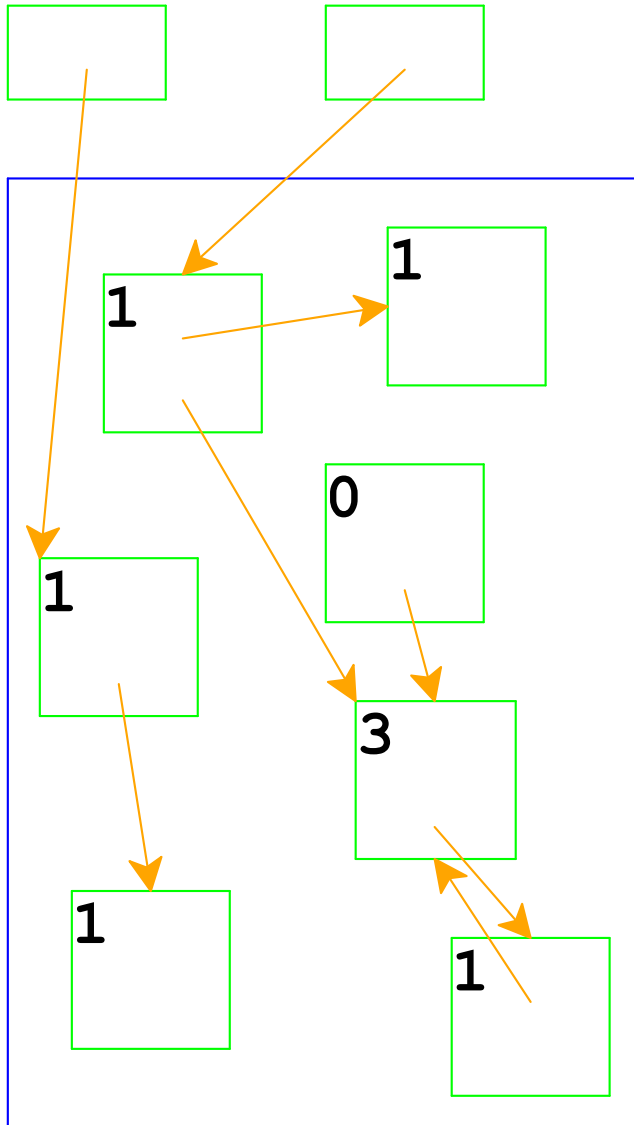
# Reference Counting



Top boxes are the registers  
**expr**, **todos**, etc.

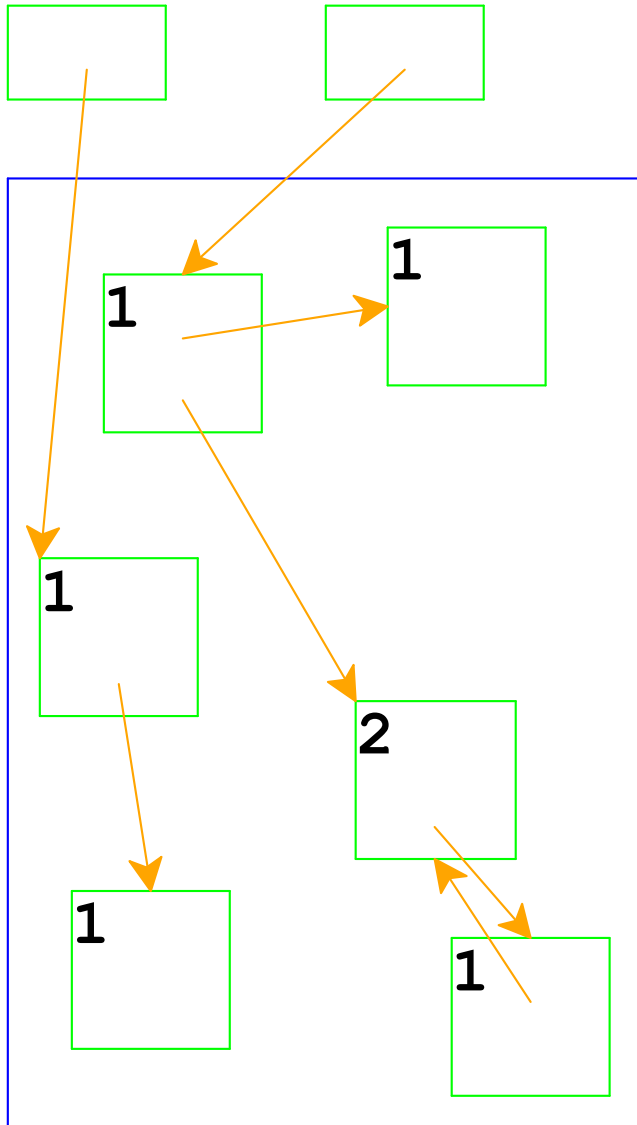
Boxes in the blue area are  
allocated with **malloc**

# Reference Counting



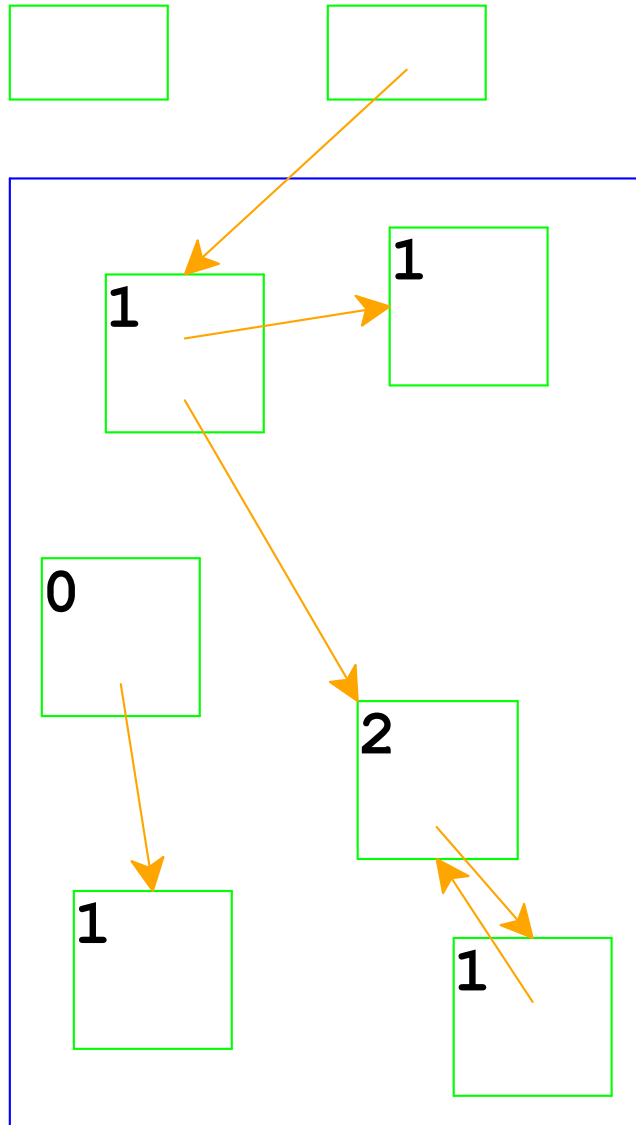
Adjust counts when a pointer is changed...

# Reference Counting



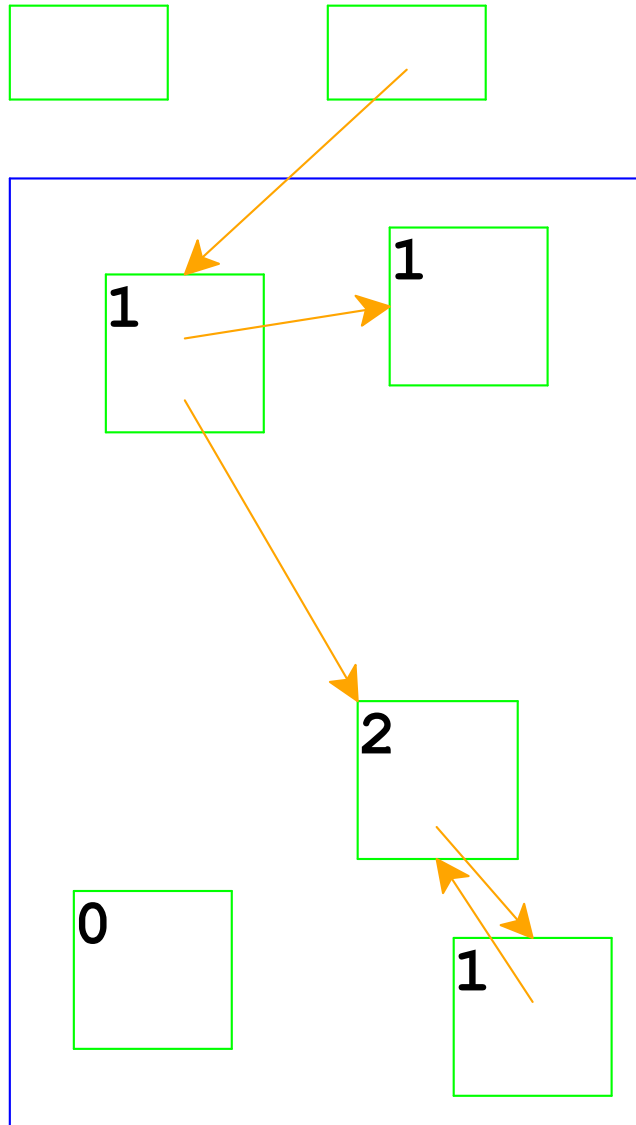
... freeing a record if its count goes to 0

# Reference Counting



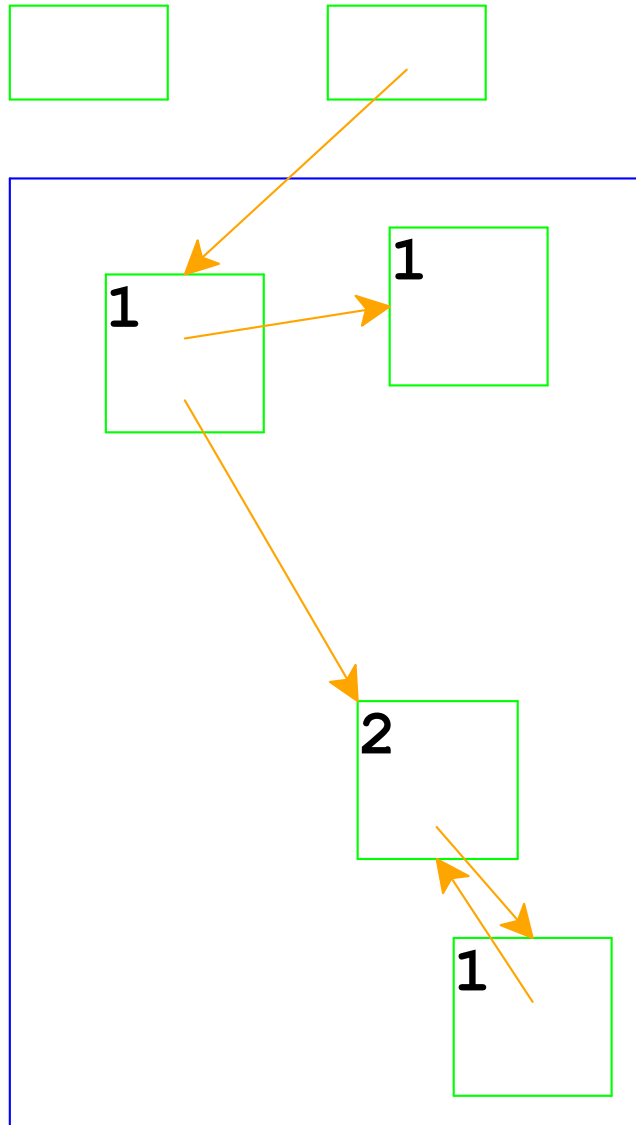
Same if the pointer is in a register

# Reference Counting



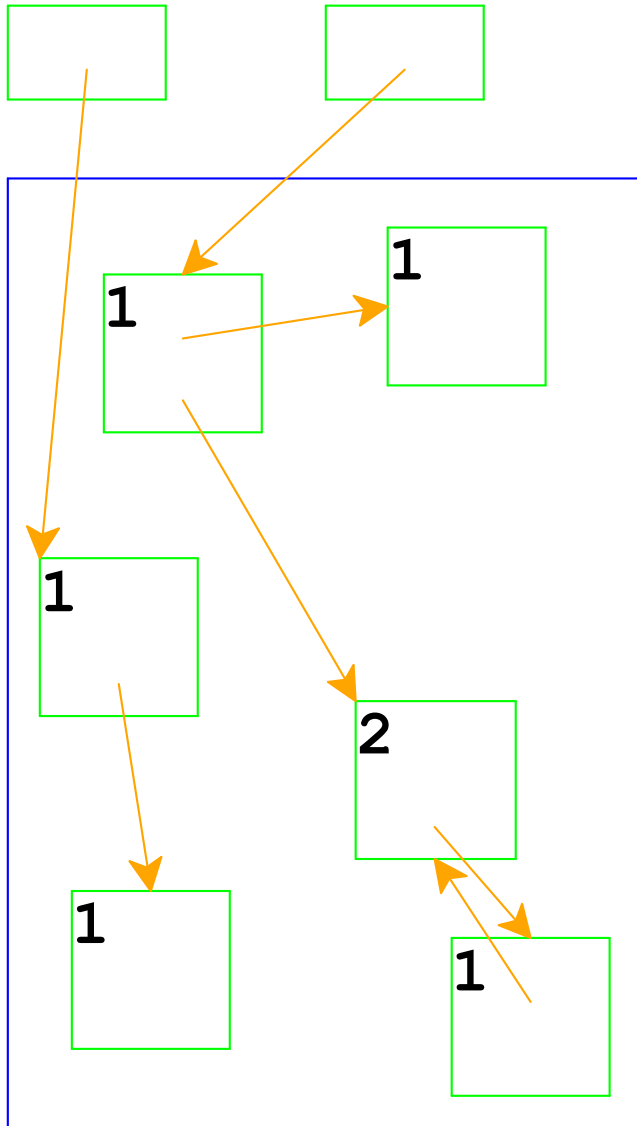
Adjust counts after frees, too...

# Reference Counting



... which can trigger more frees

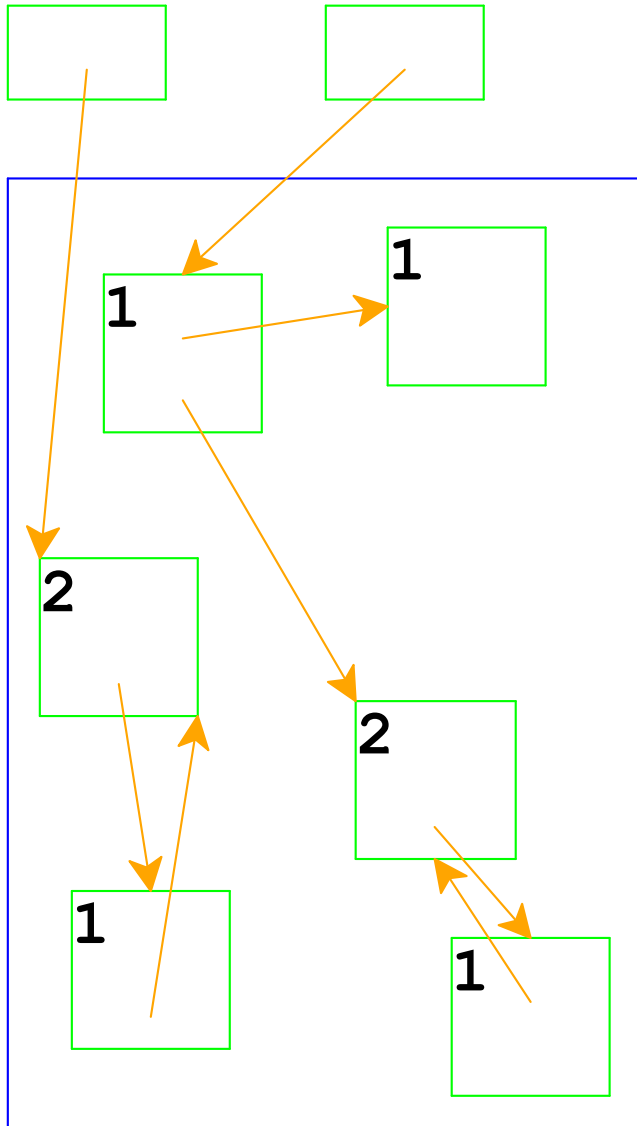
# Reference Counting And Cycles



An assignment can create a cycle...

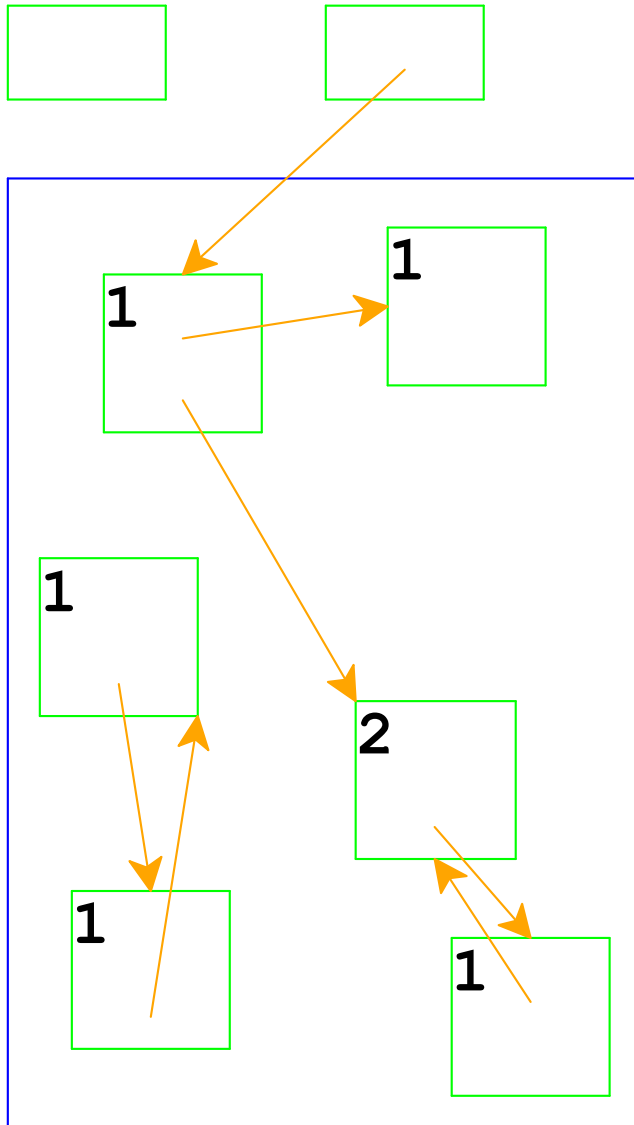


# Reference Counting And Cycles



Adding a reference increments a count

# Reference Counting And Cycles



Lower-left records are inaccessible, but not deallocated

In general, cycles break reference counting

# Garbage Collection

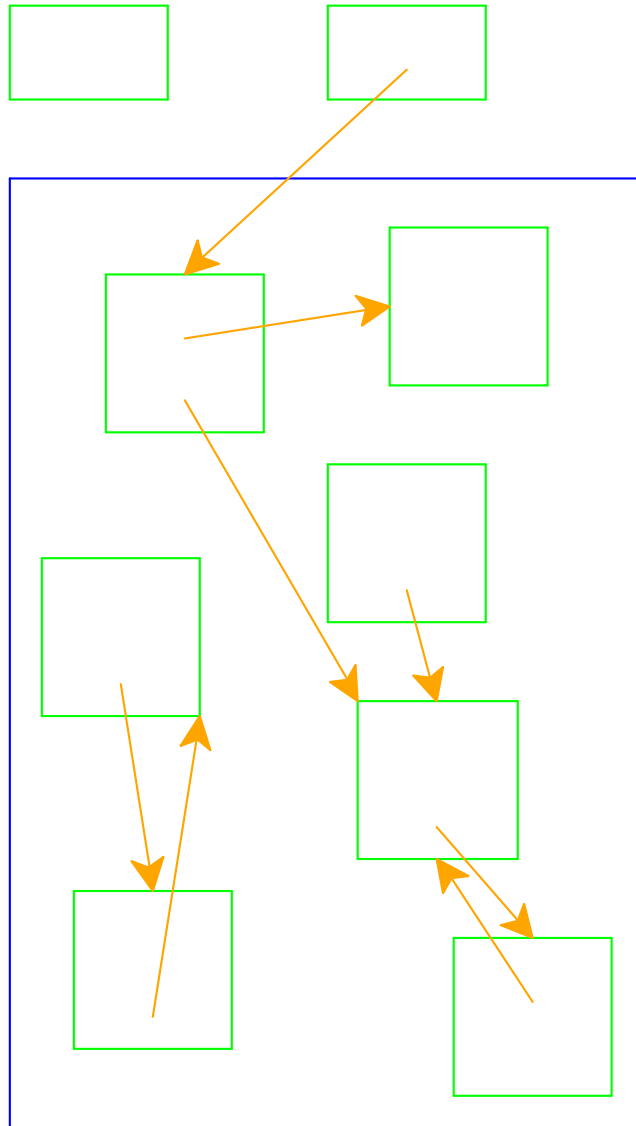
**Garbage collection:** a way to know whether a record is *accessible*

- A record referenced by a register is **live**
- A record referenced by a live record is also live
- A program can only possibly use live records, because there is no way to get to other records
- A garbage collector frees all records that are not live
- Allocate until we run out of memory, then run a garbage collector to get more space

# Garbage Collection Algorithm

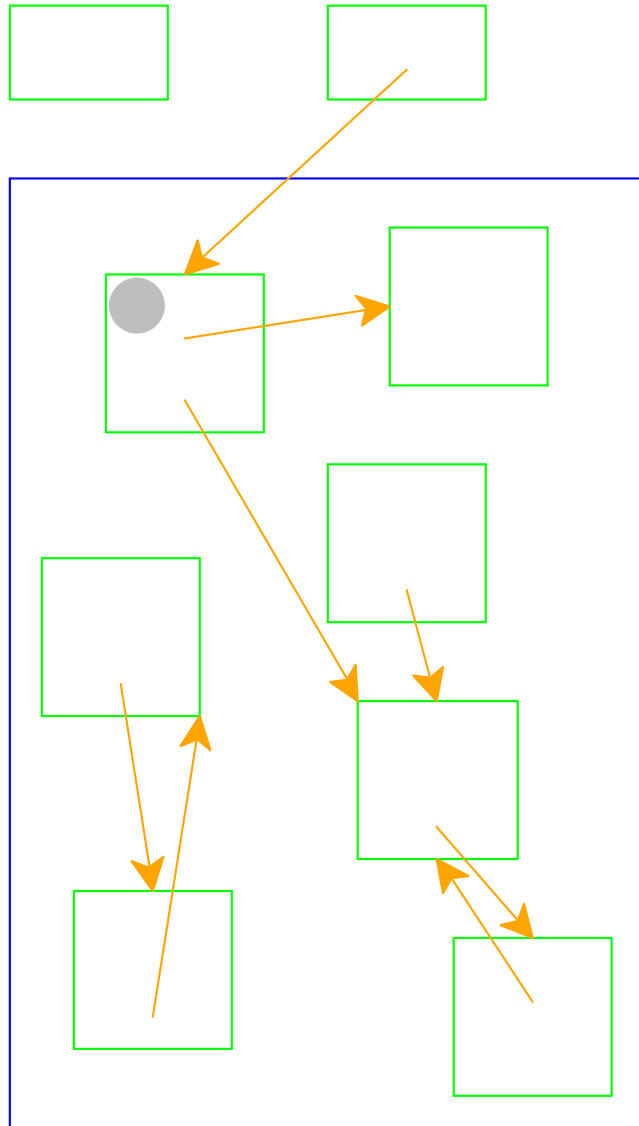
- Color all records **white**
- Color records referenced by registers **gray**
- Repeat until there are no gray records:
  - Pick a gray record,  $r$
  - For each white record that  $r$  points to, make it gray
  - Color  $r$  **black**
- Deallocate all white records

# Garbage Collection



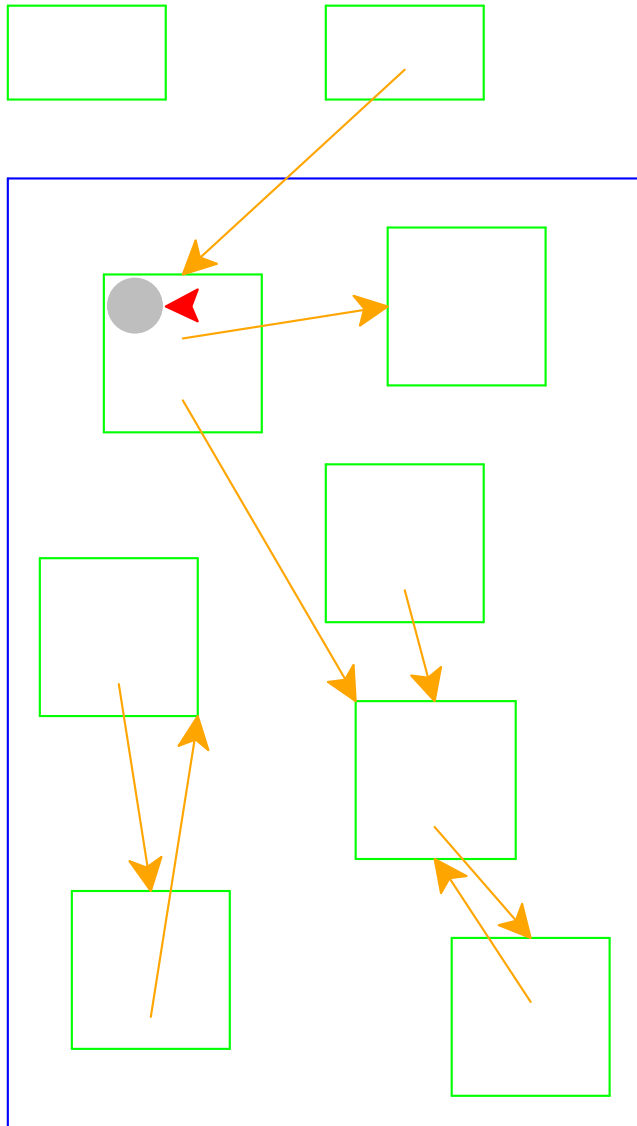
All records are marked white

# Garbage Collection



Mark records referenced by registers as gray

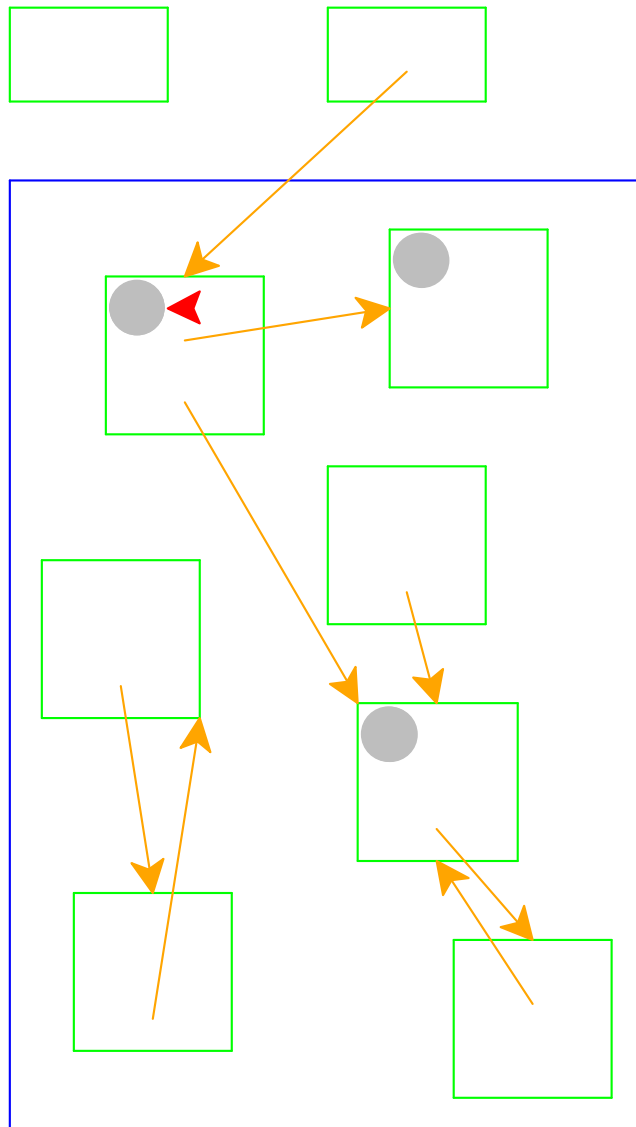
# Garbage Collection



Need to pick a gray record

Red arrow indicates the chosen record

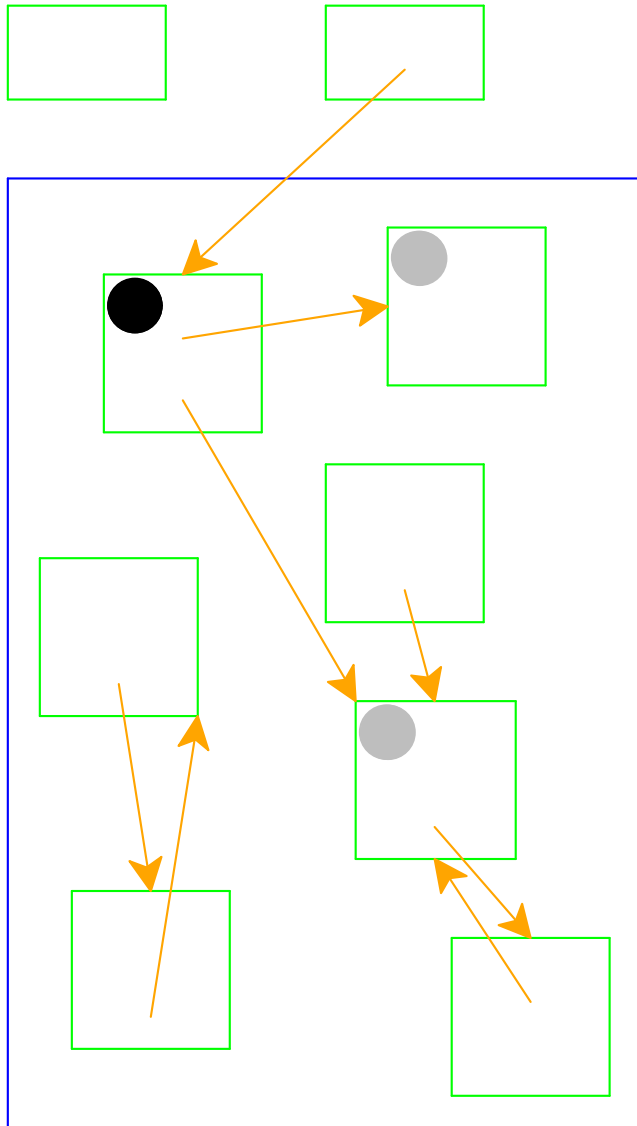
# Garbage Collection



Mark white records referenced  
by chosen record as gray

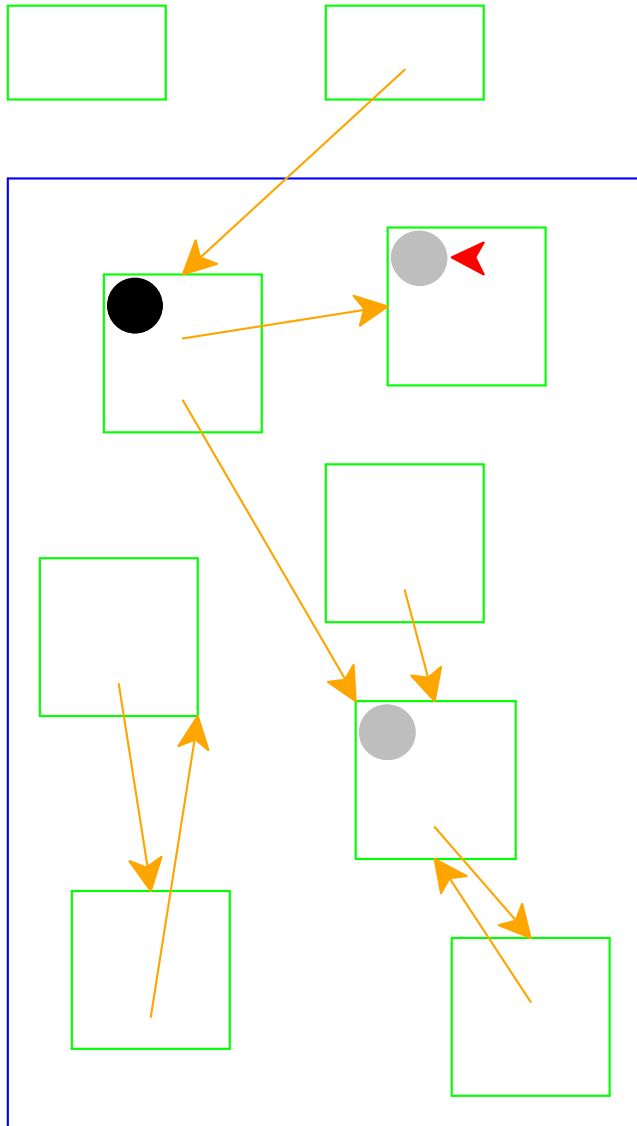


# Garbage Collection



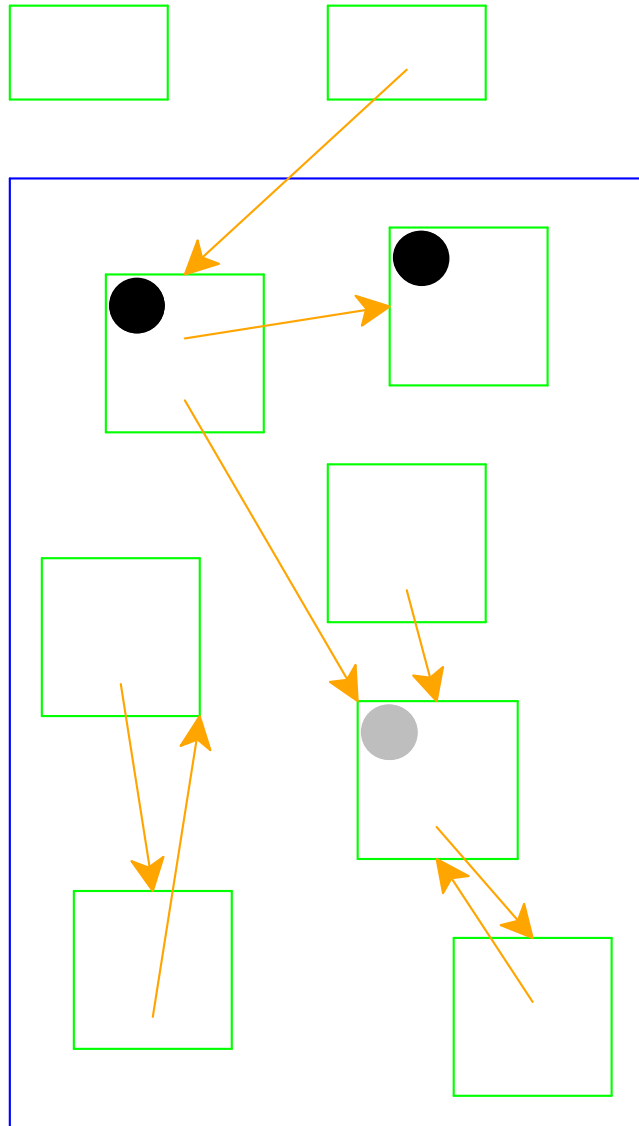
Mark chosen record black

# Garbage Collection



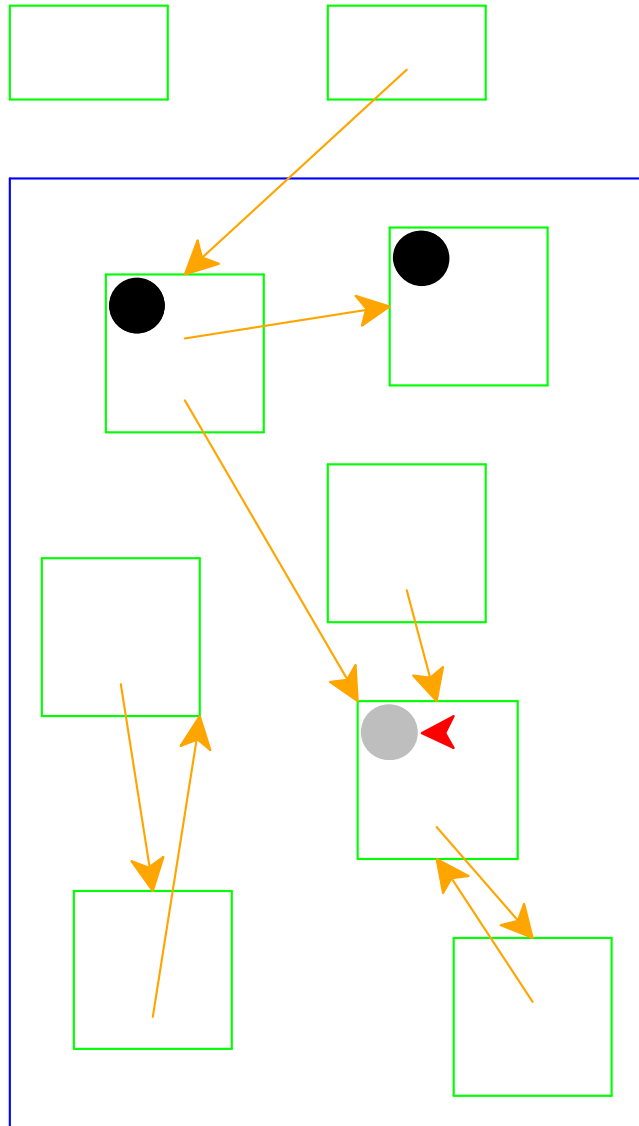
Start again: pick a gray record

# Garbage Collection



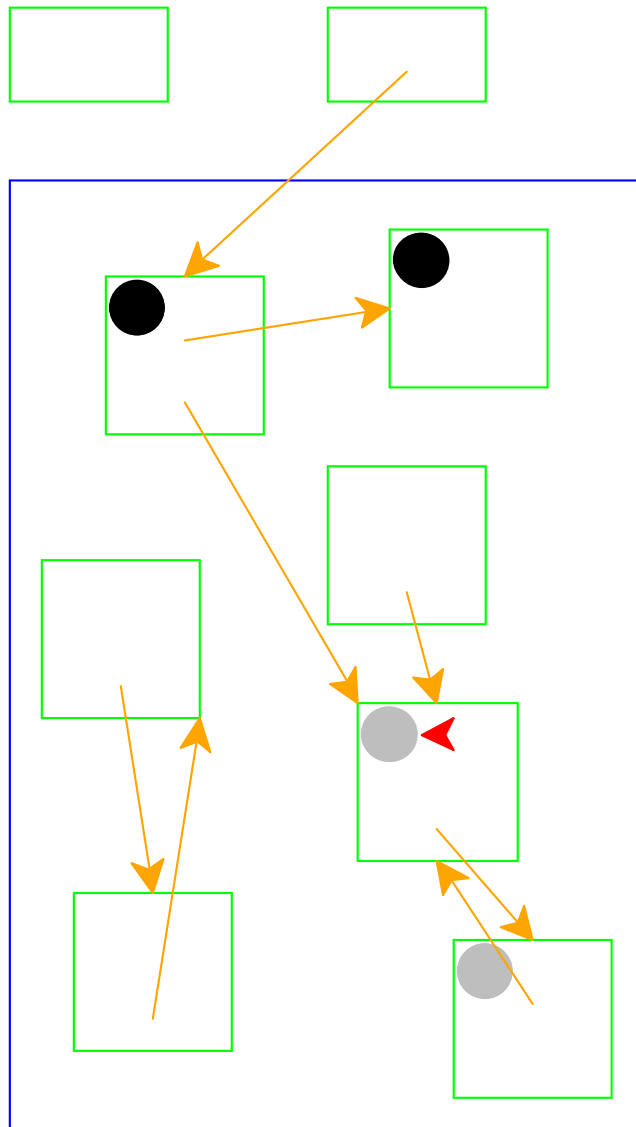
No referenced records; mark black

# Garbage Collection



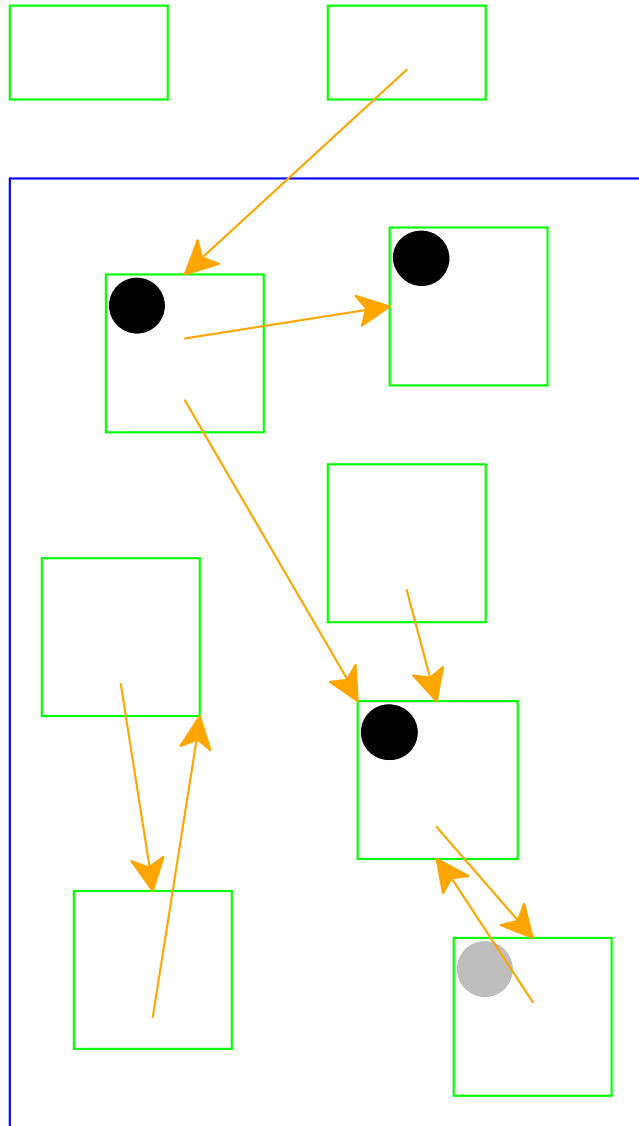
Start again: pick a gray record

# Garbage Collection



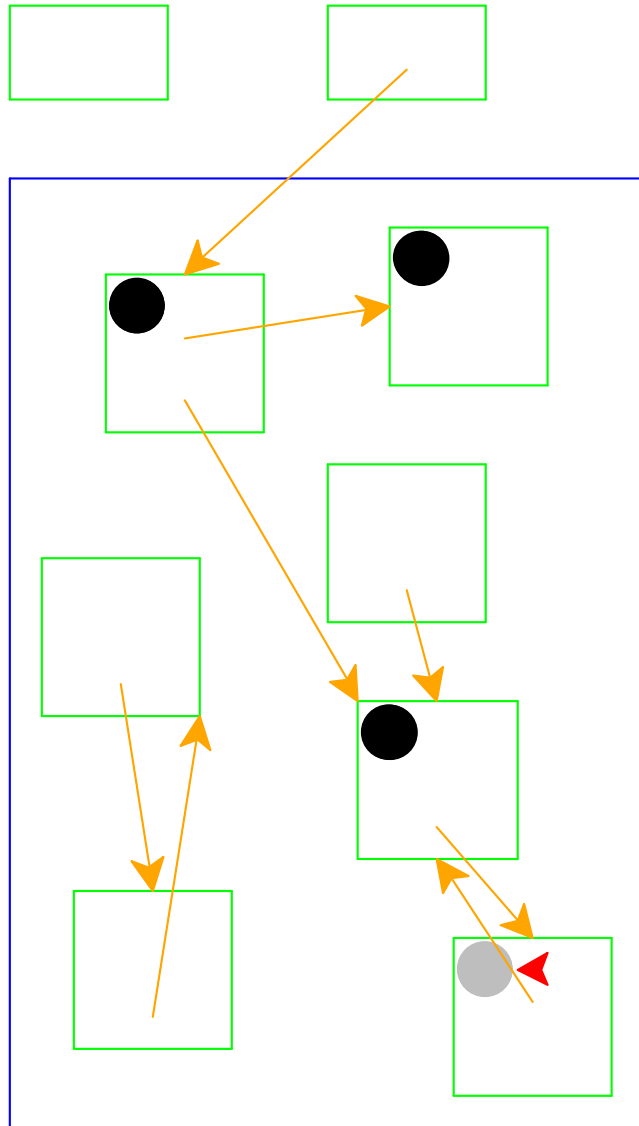
Mark white records referenced  
by chosen record as gray

# Garbage Collection



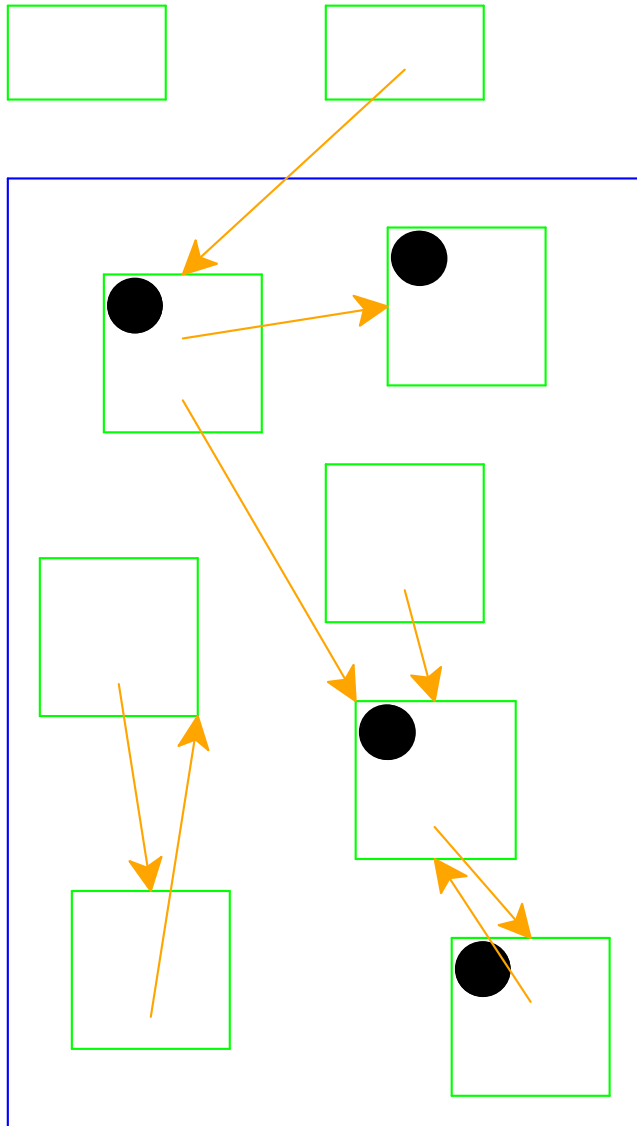
Mark chosen record black

# Garbage Collection



Start again: pick a gray record

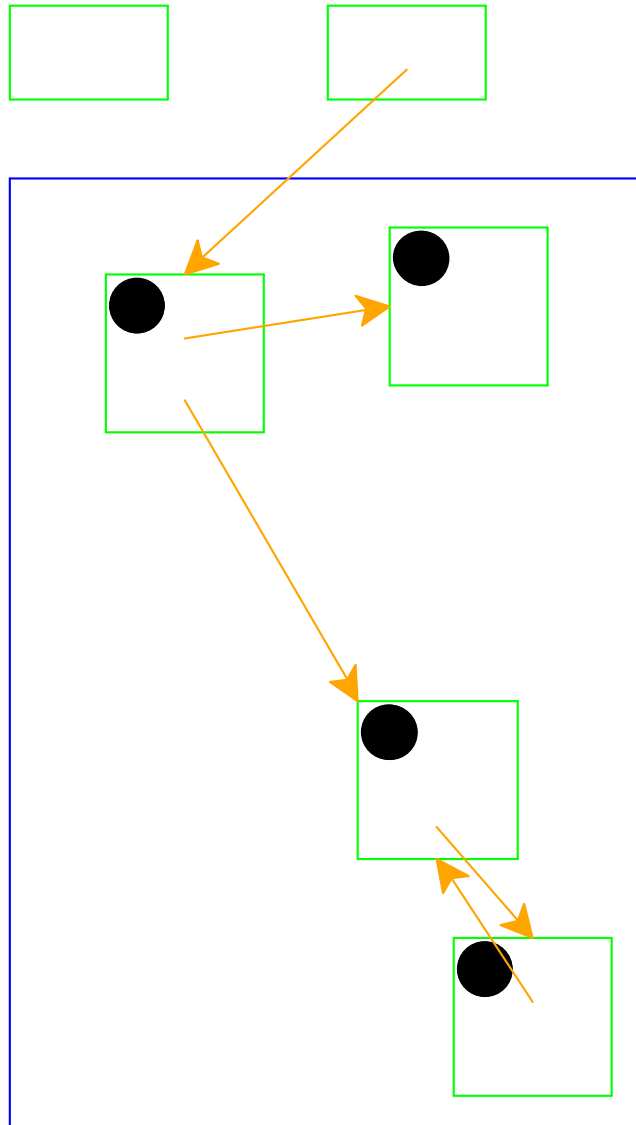
# Garbage Collection



No referenced white records;  
mark black



# Garbage Collection



No more gray records; deallocate white records

Cycles **do not** break garbage collection

# Two-Space Copying Collectors

A ***two-space*** copying collector compacts memory as it collects, making allocation easier.

## **Allocator:**

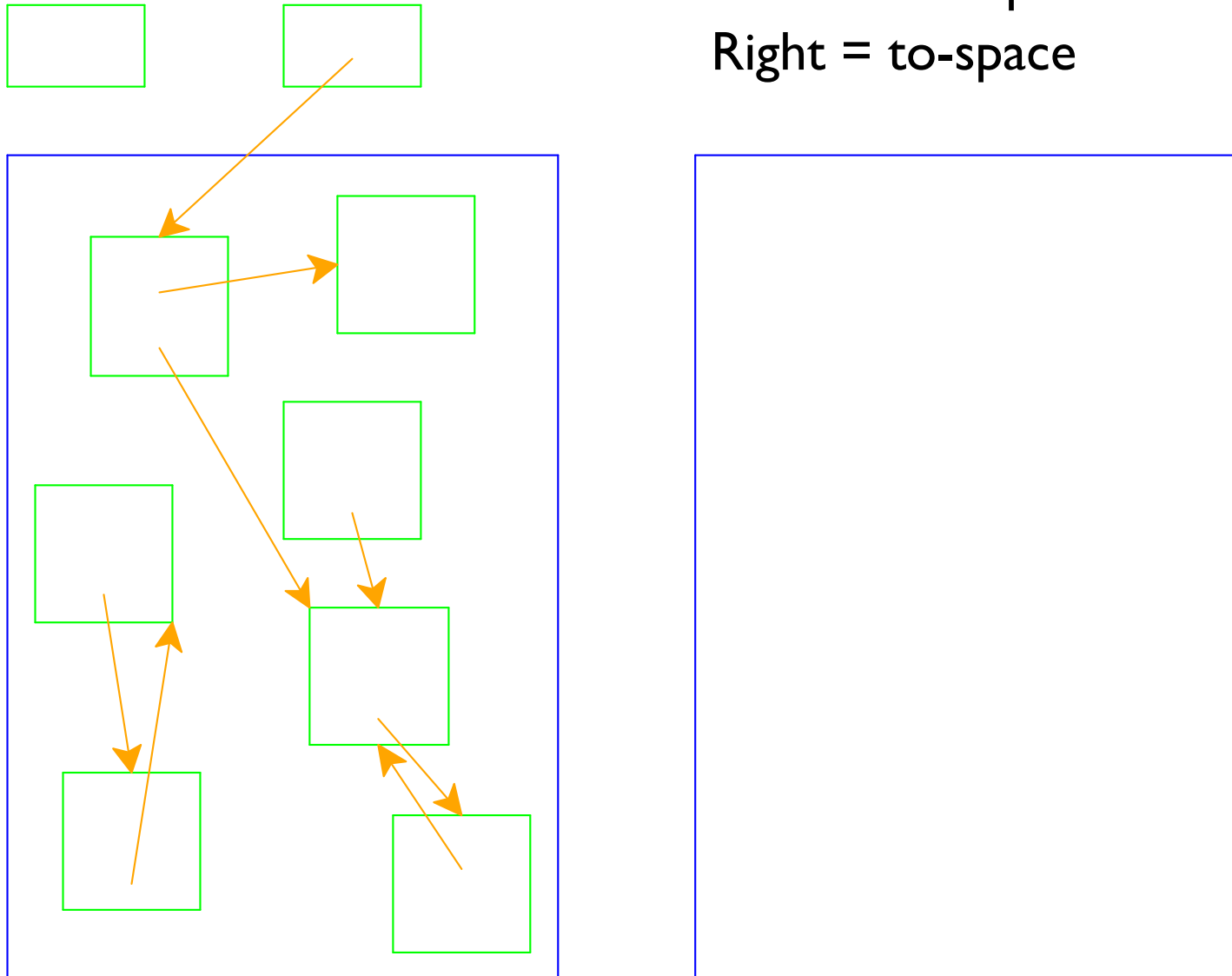
- Partitions memory into ***to-space*** and ***from-space***
- Allocates only in ***to-space***

## **Collector:**

- Starts by swapping ***to-space*** and ***from-space***
- Coloring gray  $\Rightarrow$  copy from ***from-space*** to ***to-space***
- Choosing a gray record  $\Rightarrow$  walk once through the new ***to-space***, update pointers

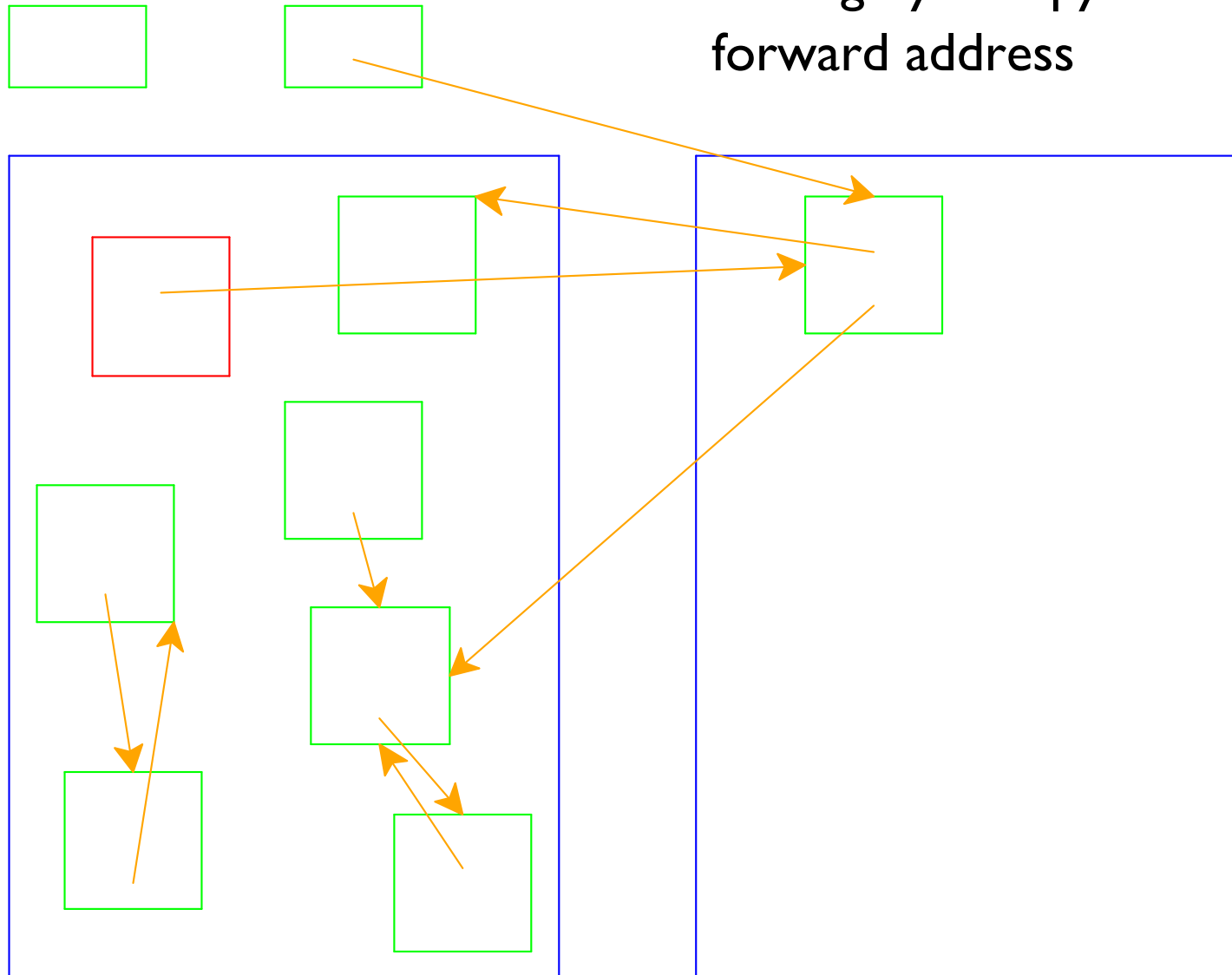
# Two-Space Collection

Left = from-space  
Right = to-space



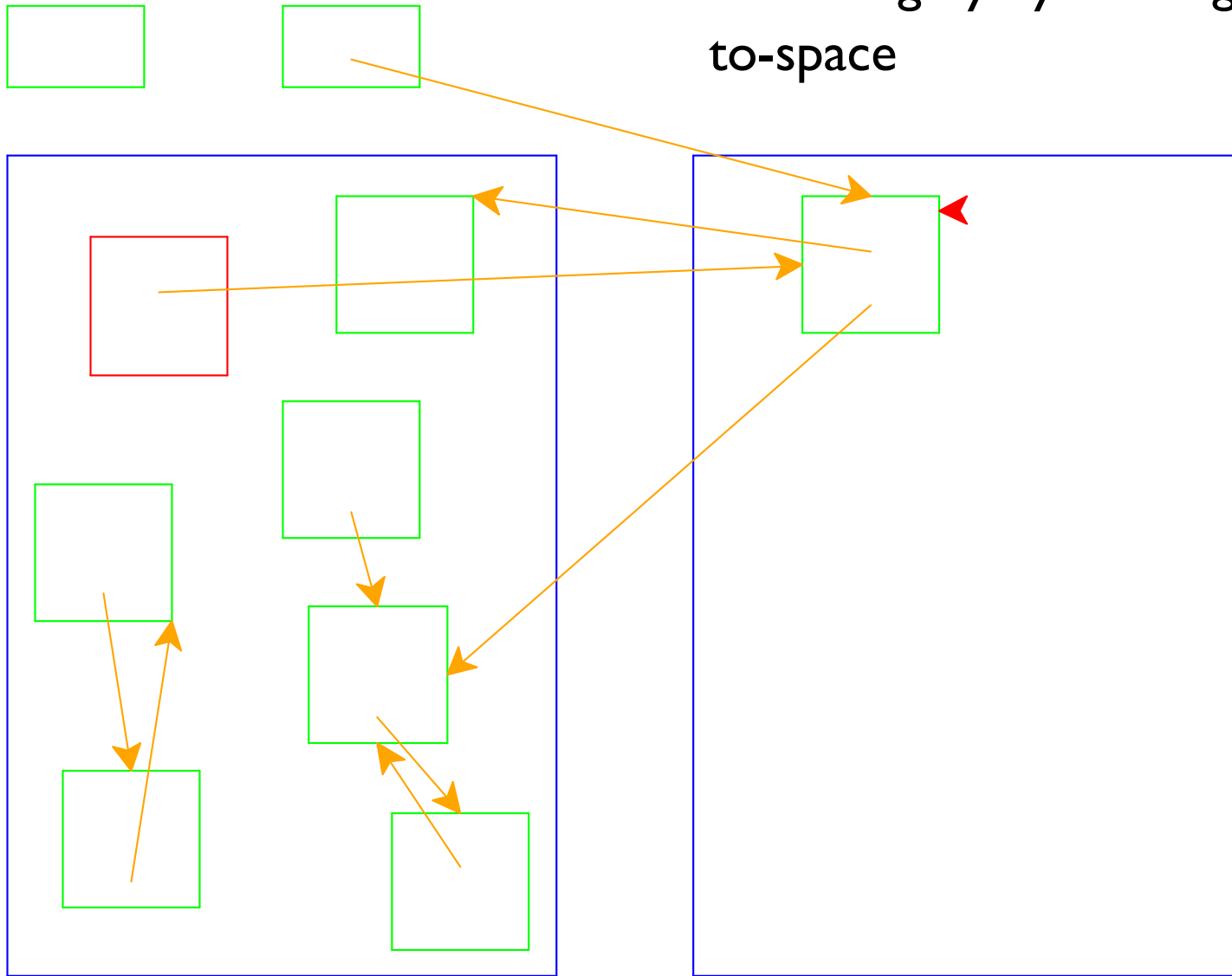
# Two-Space Collection

Mark gray = copy and leave forward address



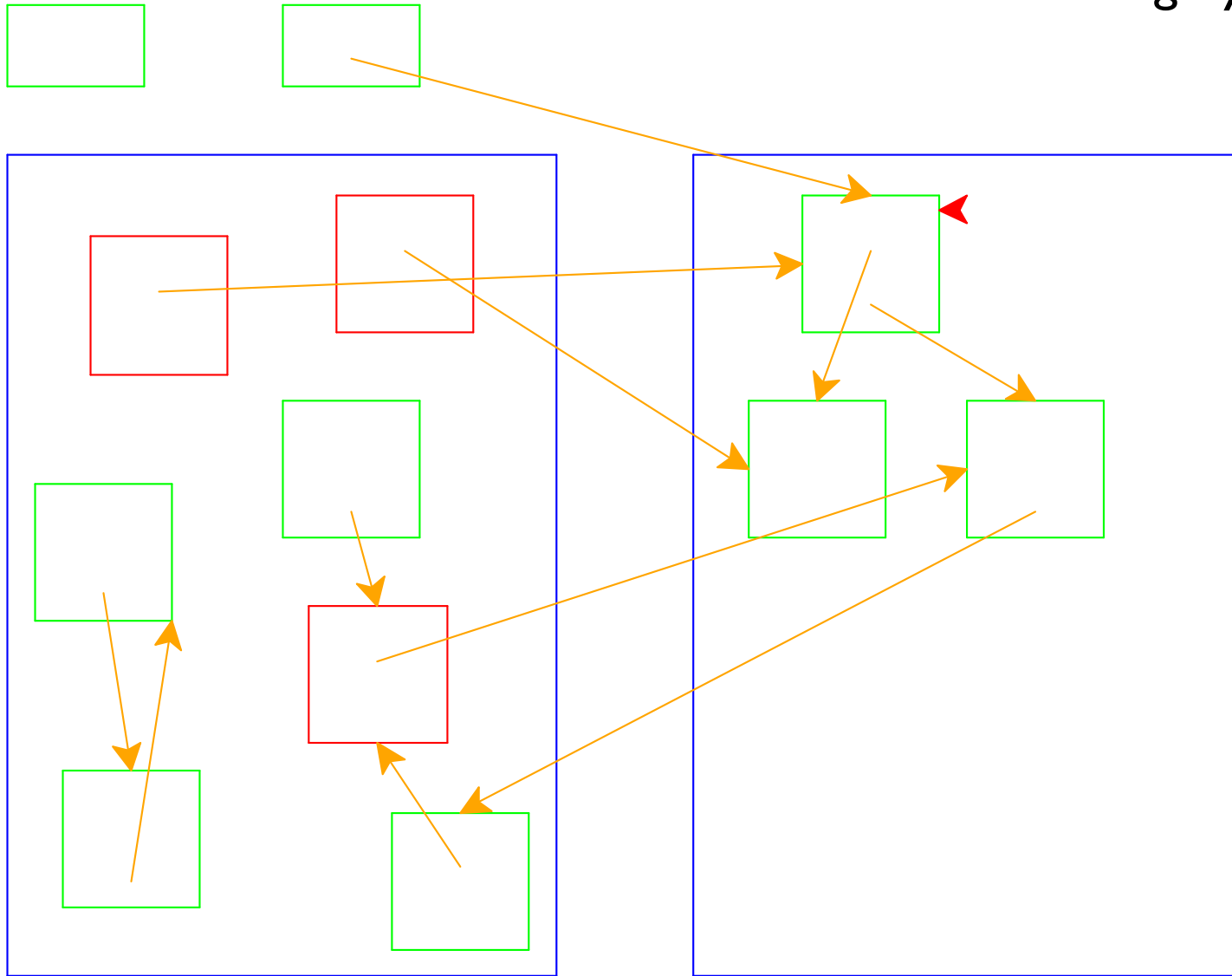
# Two-Space Collection

Choose gray by walking through to-space



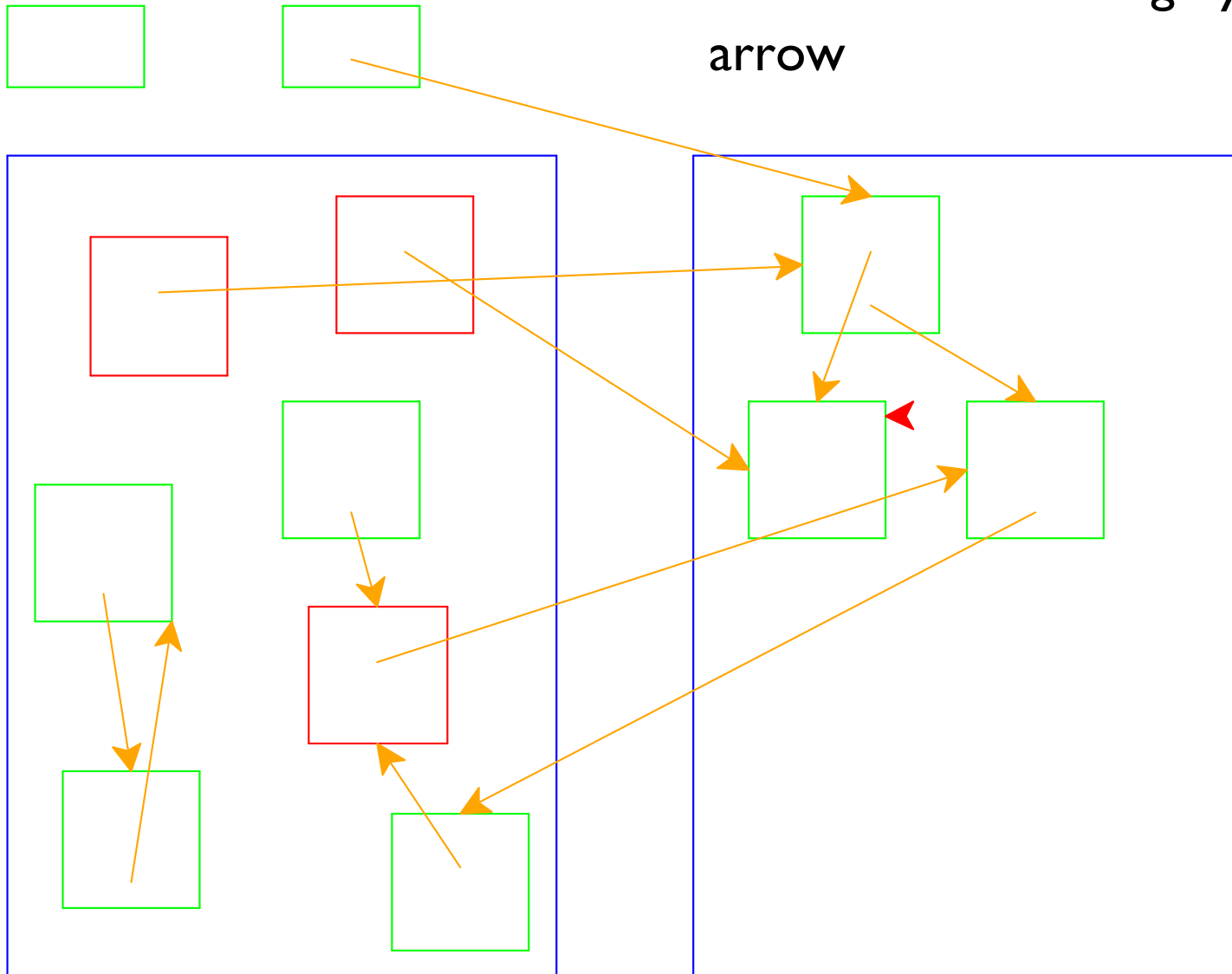
# Two-Space Collection

Mark referenced as gray



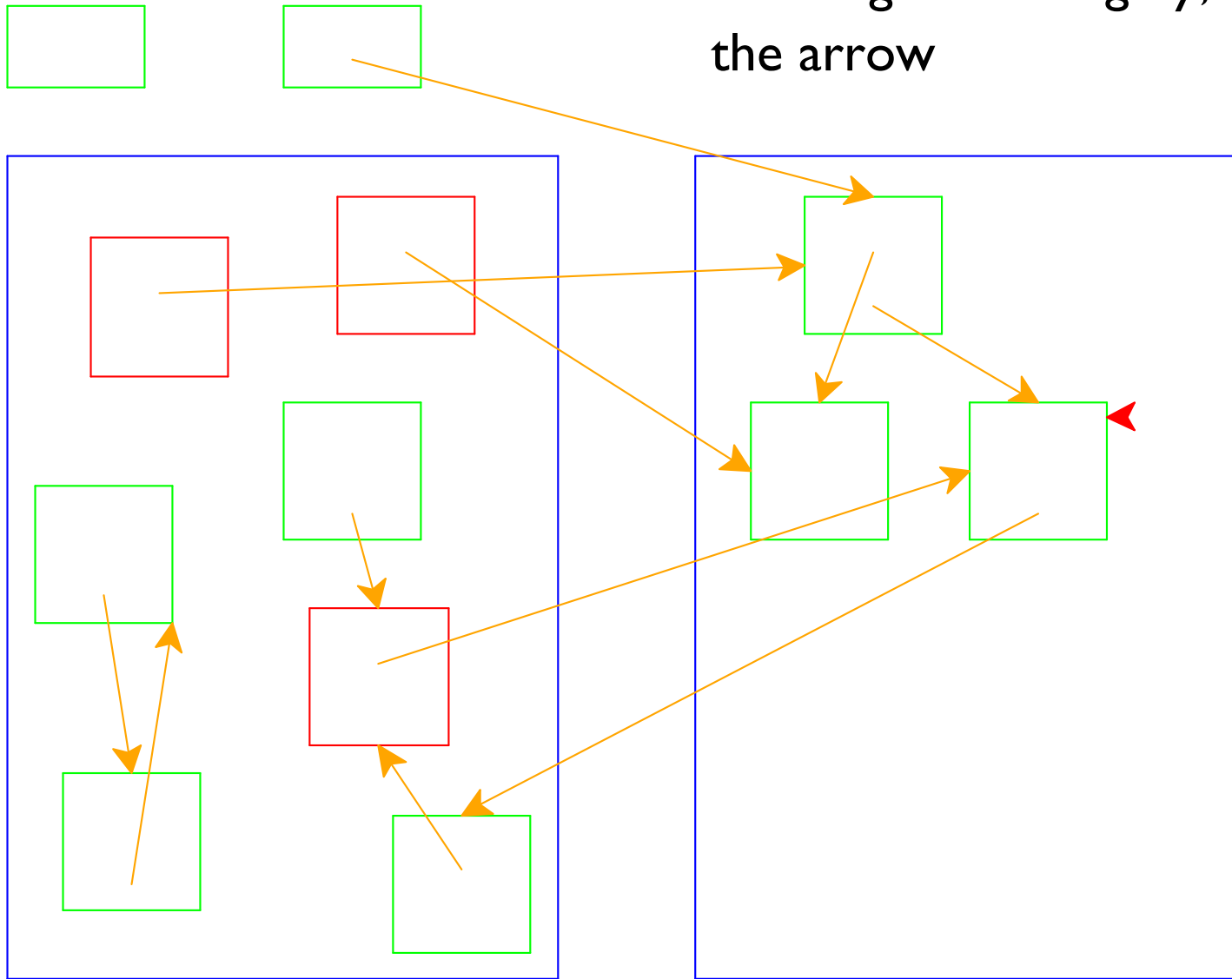
# Two-Space Collection

Mark black = move gray-choosing  
arrow



# Two-Space Collection

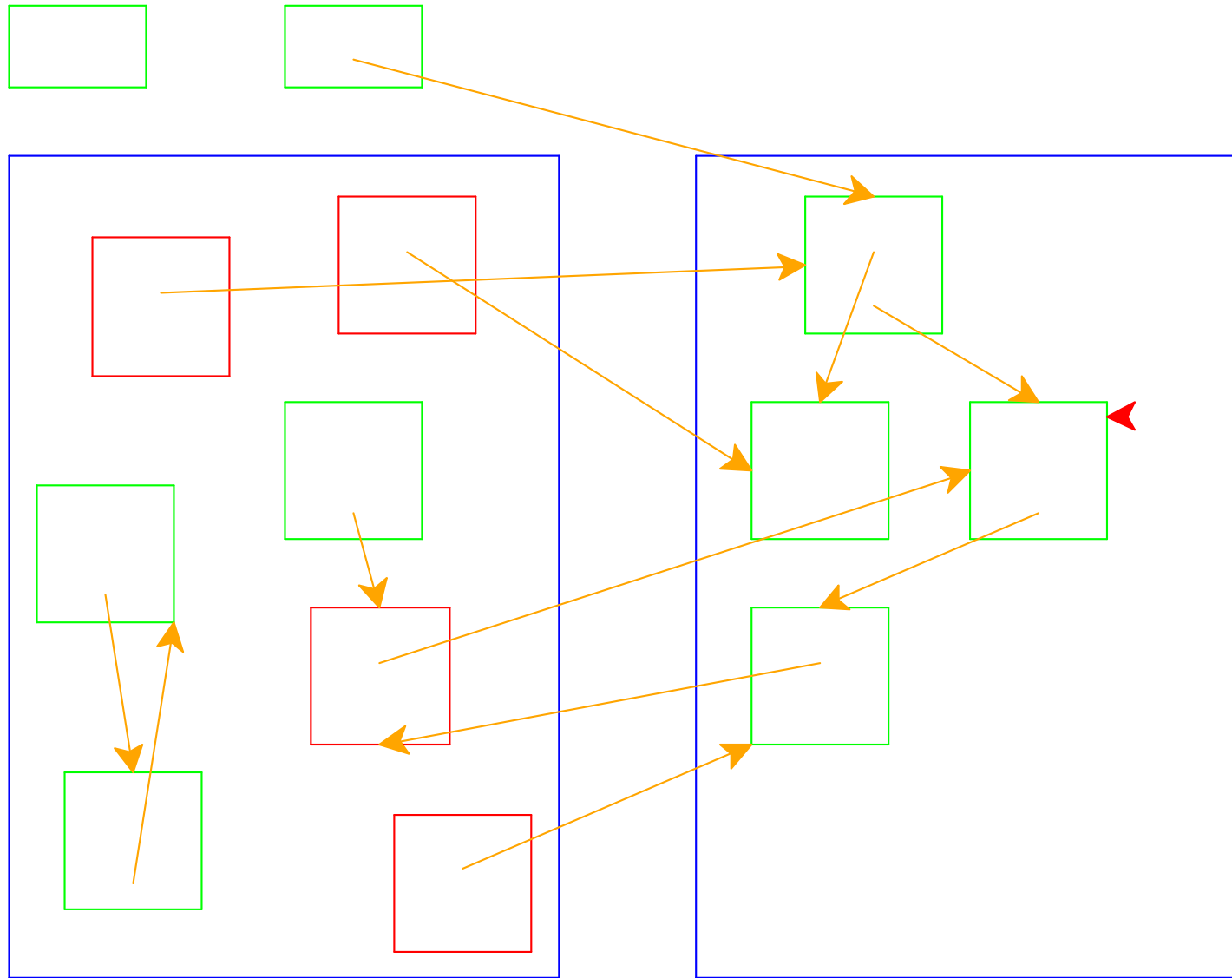
Nothing to color gray; increment the arrow



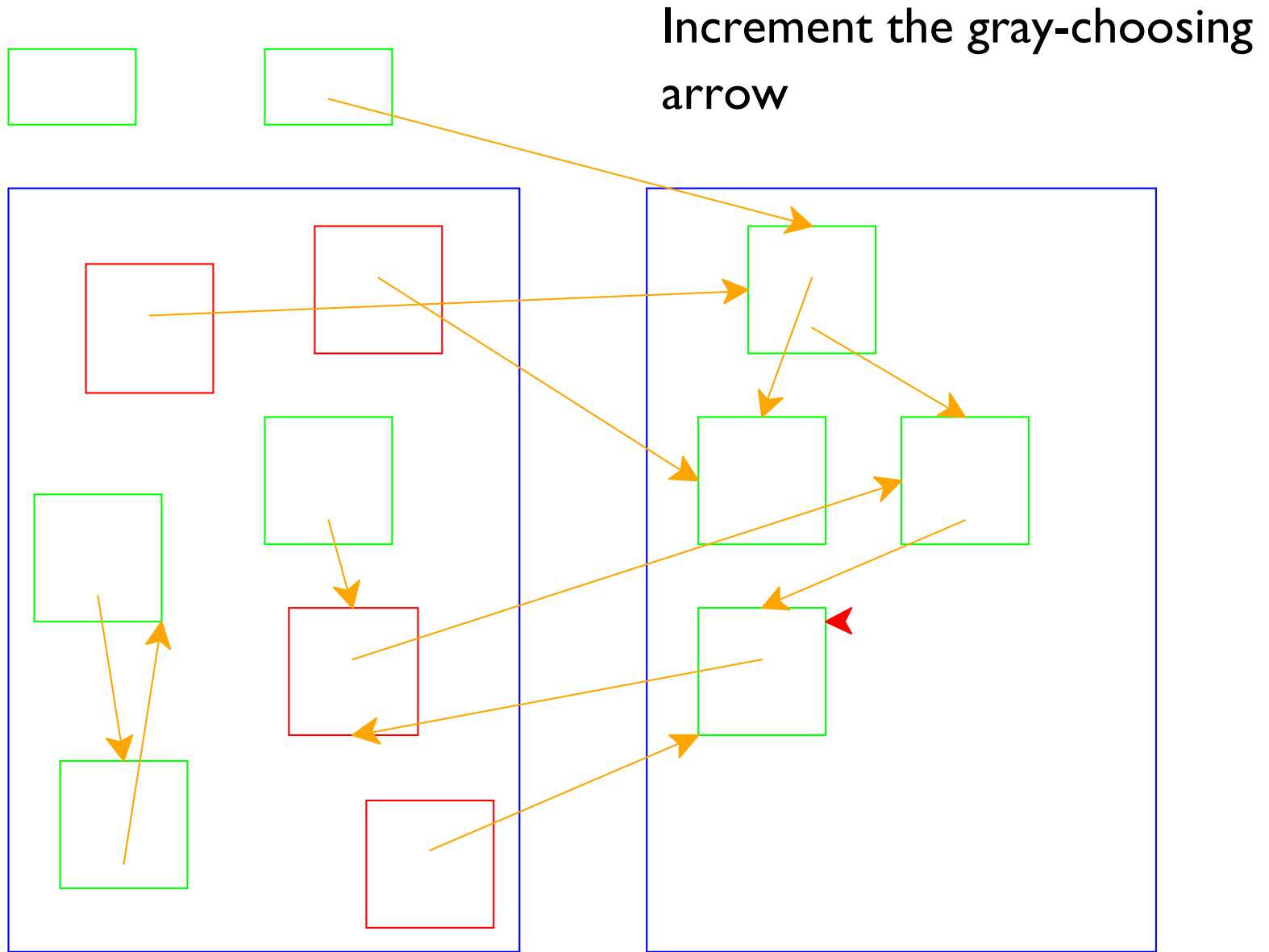


# Two-Space Collection

Color referenced record gray

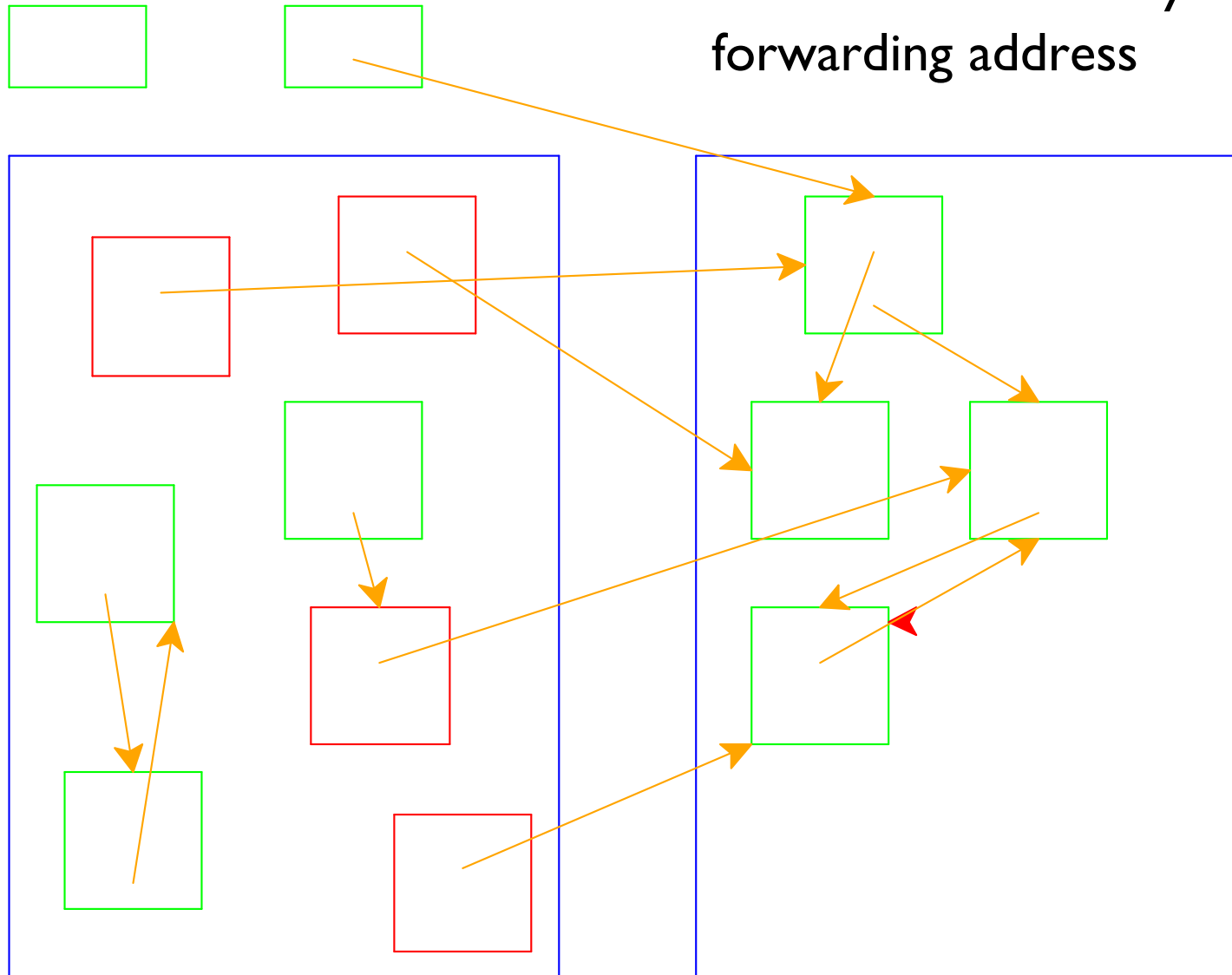


# Two-Space Collection



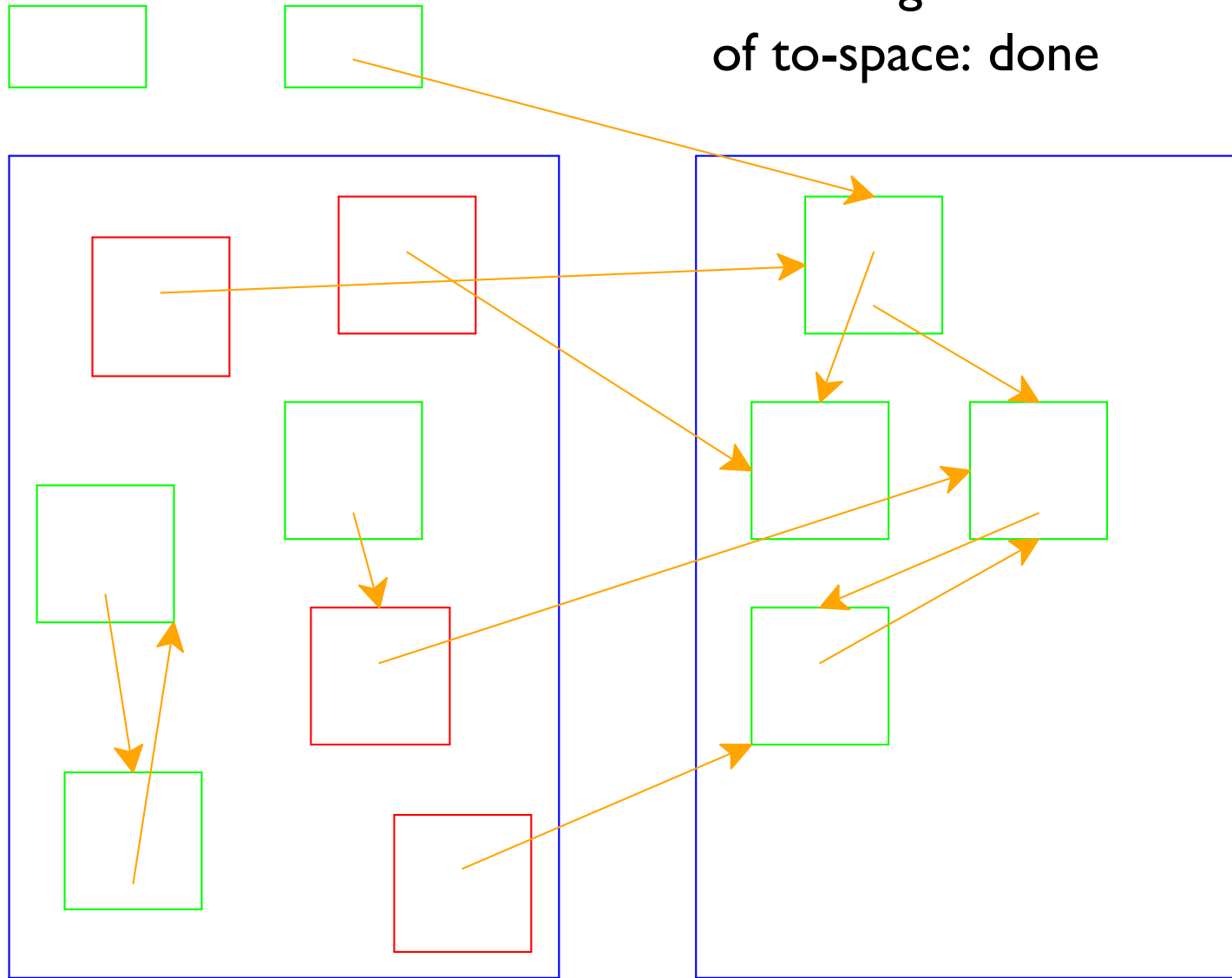
# Two-Space Collection

Referenced is already copied, use forwarding address



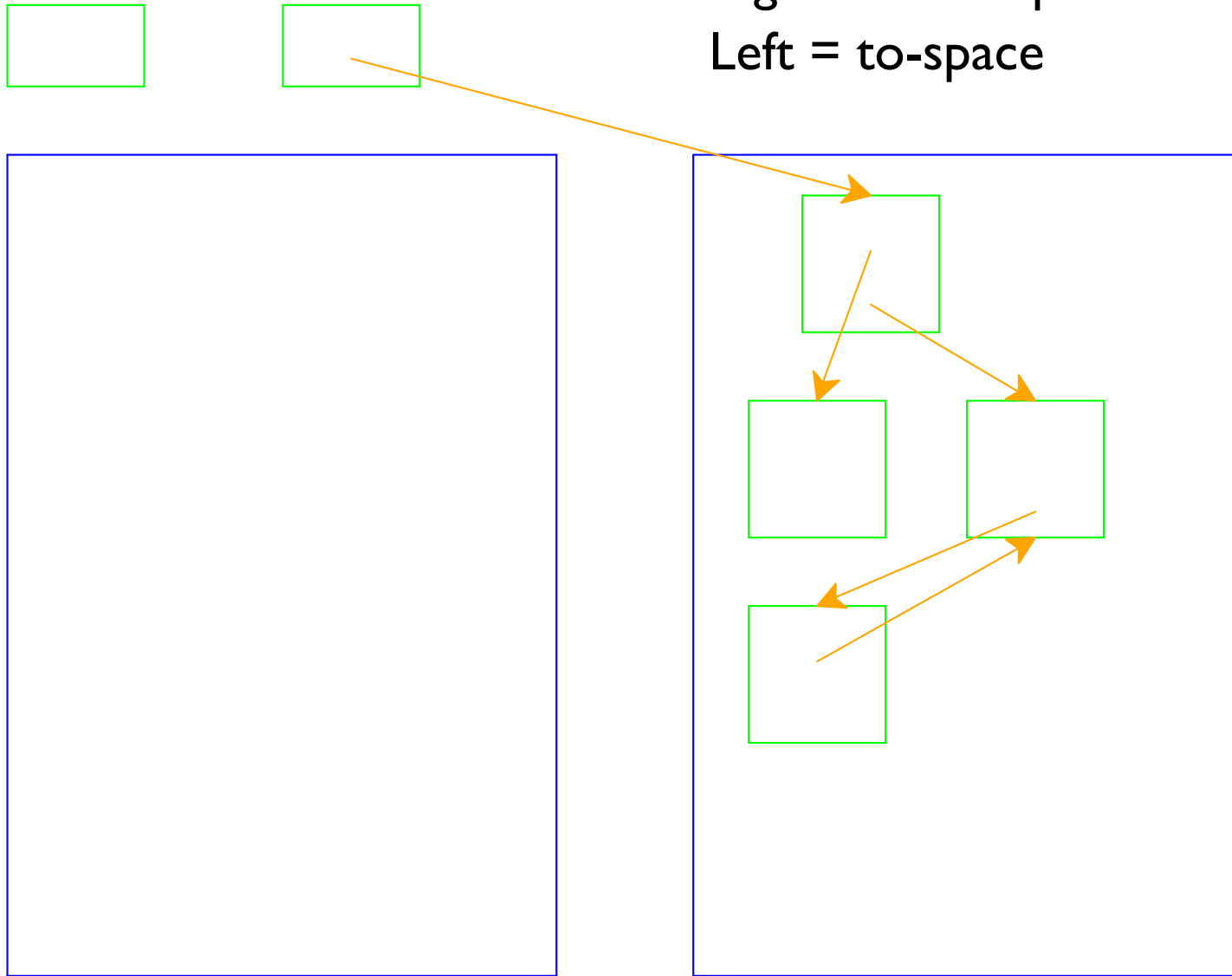
# Two-Space Collection

Choosing arrow reaches the end of to-space: done



# Two-Space Collection

Right = from-space  
Left = to-space



# Two-Space Collection on Vectors

- Everything is a number:
  - Some numbers are immediate integers
  - Some numbers are pointers
- An allocated record in memory starts with a tag, followed by a sequence of pointers and immediate integers
  - The tag describes the shape

# Two-Space Vector Example

- 26-byte memory (13 bytes for each space), 2 registers
  - Tag 1: one integer
  - Tag 2: one pointer
  - Tag 3: one integer, then one pointer

Register 1: 7

Register 2: 0

From: 1 75 2 0 3 2 10 3 2 2 3 1 4

# Two-Space Vector Example

- 26-byte memory (13 bytes for each space), 2 registers
  - Tag 1: one integer
  - Tag 2: one pointer
  - Tag 3: one integer, then one pointer

	Register 1: 7						Register 2: 0						
From:	1	75	2	0	3	2	10	3	2	2	3	1	4
Addr:	00	01	02	03	04	05	06	07	08	09	10	11	12



# Two-Space Vector Example

- 26-byte memory (13 bytes for each space), 2 registers
  - Tag 1: one integer
  - Tag 2: one pointer
  - Tag 3: one integer, then one pointer

	Register 1: 7						Register 2: 0						
From:	1	75	2	0	3	2	10	3	2	2	3	1	4
Addr:	00	01	02	03	04	05	06	07	08	09	10	11	12
	^		^		^			^			^		

# Two-Space Vector Example

- 26-byte memory (13 bytes for each space), 2 registers
  - Tag 1: one integer
  - Tag 2: one pointer
  - Tag 3: one integer, then one pointer

	Register 1: 7						Register 2: 0						
From:	1	75	2	0	3	2	10	3	2	2	3	1	4
Addr:	00	01	02	03	04	05	06	07	08	09	10	11	12
	^		^		^			^			^		
To:	0	0	0	0	0	0	0	0	0	0	0	0	0
	^												

# Two-Space Vector Example

- 26-byte memory (13 bytes for each space), 2 registers
  - Tag 1: one integer
  - Tag 2: one pointer
  - Tag 3: one integer, then one pointer

	Register 1: 0						Register 2: 0						
From:	1	75	2	0	3	2	10	99	0	2	3	1	4
Addr:	00	01	02	03	04	05	06	07	08	09	10	11	12
	^		^		^			^			^		
To:	3	2	2	0	0	0	0	0	0	0	0	0	0
	^												

# Two-Space Vector Example

- 26-byte memory (13 bytes for each space), 2 registers
  - Tag 1: one integer
  - Tag 2: one pointer
  - Tag 3: one integer, then one pointer

	Register 1: 0						Register 2: 3						
From:	99	3	2	0	3	2	10	99	0	2	3	1	4
Addr:	00	01	02	03	04	05	06	07	08	09	10	11	12
	^		^		^			^			^		
To:	3	2	2	1	75	0	0	0	0	0	0	0	0
	^												

# Two-Space Vector Example

- 26-byte memory (13 bytes for each space), 2 registers
  - Tag 1: one integer
  - Tag 2: one pointer
  - Tag 3: one integer, then one pointer

	Register 1: 0						Register 2: 3						
From:	99	3	99	5	3	2	10	99	0	2	3	1	4
Addr:	00	01	02	03	04	05	06	07	08	09	10	11	12
	^		^		^			^			^		
To:	3	2	5	1	75	2	0	0	0	0	0	0	0
				^									

# Two-Space Vector Example

- 26-byte memory (13 bytes for each space), 2 registers
  - Tag 1: one integer
  - Tag 2: one pointer
  - Tag 3: one integer, then one pointer

	Register 1: 0						Register 2: 3						
From:	99	3	99	5	3	2	10	99	0	2	3	1	4
Addr:	00	01	02	03	04	05	06	07	08	09	10	11	12
	^		^		^			^			^		
To:	3	2	5	1	75	2	0	0	0	0	0	0	0
						^							

# Two-Space Vector Example

- 26-byte memory (13 bytes for each space), 2 registers
  - Tag 1: one integer
  - Tag 2: one pointer
  - Tag 3: one integer, then one pointer

	Register 1: 0						Register 2: 3						
From:	99	3	99	5	3	2	10	99	0	2	3	1	4
Addr:	00	01	02	03	04	05	06	07	08	09	10	11	12
	^		^		^			^			^		
To:	3	2	5	1	75	2	3	0	0	0	0	0	0
								^					