

# Max of a List

Implement the function **max-item** which returns the biggest number in a list of numbers

# Data and Contract

**Data:** `list-of-num`, obviously

**Contract:**

`; max-item : list-of-num -> num`

# Examples

```
(check-expect (max-item '(2 7 5)) 7)
```

```
(check-expect (max-item empty) ...)
```

**Problem:** `max-item` makes no sense on an empty list

# Data and Contract, Again

**Data:** `nonempty-list-of-num`

```
; A nonempty-list-of-num is either  
; - (cons num empty)  
; - (cons num nonempty-list-of-num)
```

**Contract:**

```
; max-item : nonempty-list-of-num -> num
```

# Examples, Again

```
(check-expect (max-item '(2 7 5)) 7)
```

```
(check-expect (max-item '(2)) 2)
```

# Implementation

No existing functions on non-empty lists, so start with the template

```
; A nonempty-list-of-num is either  
; - (cons num empty)  
; - (cons num nonempty-list-of-num)
```

```
(define (max-item nel)  
  (cond  
    [(empty? (rest nel)) ... (first nel) ...]  
    [else  
      ... (first nel)  
      ... (max-item (rest nel)) ...]))
```

# Implementation Complete

```
(define (max-item nel)
  (cond
    [(empty? (rest nel)) (first nel)]
    [else
     (cond
       [(> (first nel) (max-item (rest nel)))]
         (first nel)]
       [else
        (max-item (rest nel))])]))
```

# Test

```
(check-expect (max-item '(2)) 2)
```

works fine

```
(check-expect  
  (max-item '(1 2 3 4 5 6 7 8 9 10))  
  10)
```

works fine

```
(check-expect  
  (max-item '(1 2 3 4 5 6 7 8 9 10  
             11 12 13 14 15 16 17 18 19 20  
             21 22 23 24 25 26 27 28 29 30))  
  30)
```

answer never appears!



# The Speed of max-item

Somewhere around 20 items, the `max-item` function starts to take way too long

Even if you buy a computer that's 10 times faster, the problem shows up with about 23 items...

How long does a program take to run?

# Counting Steps

How long does

$(+ 1 (* 6 7))$

take to execute?

Computer speeds differ in “real time,” but we can count steps:

$(+ 1 (* 6 7)) \rightarrow (+ 1 42) \rightarrow 43$

So, evaluation takes 2 steps

# Steps for max-item and 1 Element

How long does this expression take?

```
(max-item '(2))
```

```
(max-item '(2))
```

```
→ (cond [(empty? (rest '(2))) (first '(2))] ...)
```

```
→ (cond [(empty? empty) (first '(2))] ...)
```

```
→ (cond [true (first '(2))] ...)
```

```
→ (first '(2))
```

```
→ 2
```

5 steps — and any list with one item will take five steps

# Steps for max-item and 2 Elements

How long does this expression take?

```
(max-item '(2 1))
```

```
(max-item '(2 1))  
→ (cond [(empty? (rest '(2 1))) (first '(2 1))] [else ...])  
→ (cond [(empty? '(1)) (first '(2 1))] [else ...])  
→ (cond [false (first '(2 1))] [else ...])  
→ (cond [else (cond [(> (first '(2 1)) ...) ...] [else ...])])  
→ (cond [(> (first '(2 1)) (max-item (rest '(2 1)))) ...] [else ...])  
→ (cond [(> 2 (max-item (rest '(2 1)))) ...] [else ...])  
→ (cond [(> 2 (max-item '(1))) ...] [else ...])  
→ ... → ... → ... → ...  
→ (cond [(> 2 1) (first '(2 1))] [else ...])  
→ (first '(2 1))  
→ 2
```

14 steps — where 5 came from the recursive call

Are all 2-element lists the same?

# Steps for max-item and 2 Elements

`(max-item '(1 2))`

```
(max-item '(1 2))
→ (cond [(empty? (rest '(1 2))) (first '(1 2))] [else ...])
→ (cond [(empty? '(2)) (first '(1 2))] [else ...])
→ (cond [false (first '(1 2))] [else ...])
→ (cond [else (cond [(> (first '(1 2)) ...) ...] [else ...])])
→ (cond [(> (first '(1 2)) (max-item (rest '(1 2)))) ...] [else ...])
→ (cond [(> 1 (max-item (rest '(1 2)))) ...] [else ...])
→ (cond [(> 1 (max-item '(2))) ...] [else ...])
→ ... → ... → ... → ...
→ (cond [(> 1 2) ...] [else ...])
→ (cond [else (max-item (rest '(1 2)))]])
→ (max-item (rest '(1 2)))
→ (max-item '(2))
→ ... → ... → ... → ...
→ 2
```

20 steps — where 10 came from *two* recursive calls

# Steps for max-item and N Elements

In the worst case, the step count **T** for an  $n$ -element list passed to **max-item** is

$$\mathbf{T}(n) = 10 + 2\mathbf{T}(n-1)$$

$$\mathbf{T}(1) = 5$$

$$\mathbf{T}(2) = 10 + 2\mathbf{T}(1) = 20$$

$$\mathbf{T}(3) = 10 + 2\mathbf{T}(2) = 50$$

$$\mathbf{T}(4) = 10 + 2\mathbf{T}(3) = 110$$

$$\mathbf{T}(5) = 10 + 2\mathbf{T}(4) = 230$$

...

- In general,  $\mathbf{T}(n) > 2^n$
- Note that  $2^{30}$  is 1,073,741,824 — which is why our last test never produced a result

## Repairing max-item

In the case of `max-item`, the problem is easily fixed with `local`

```
(define (max-item nel)
  (cond
    [(empty? (rest nel)) (first nel)]
    [else
     (local [(define r (max-item (rest nel)))]
       (cond
         [(> (first nel) r) (first nel)]
         [else r]))]))
```

With this definition, there's always one recursive call

`(max-item '(1 2))` takes 17 steps

# Steps for new max-item and N Elements

In the worst case, now, the step count **T** for an  $n$ -element list passed to **max-item** is

$$\mathbf{T}(n) = 12 + \mathbf{T}(n-1)$$

$$\mathbf{T}(1) = 5$$

$$\mathbf{T}(2) = 12 + \mathbf{T}(1) = 17$$

$$\mathbf{T}(3) = 12 + \mathbf{T}(2) = 29$$

$$\mathbf{T}(4) = 12 + \mathbf{T}(3) = 41$$

$$\mathbf{T}(5) = 12 + \mathbf{T}(4) = 53$$

...

- In general,  $\mathbf{T}(n) = 5 + 12(n-1)$
- So our last test takes only 343 steps



# Using Local to Reduce Complexity

Before, we used **local** to either make the code nicer or to support abstraction

Now we're using **local** to avoid redundant calculations, which avoids evaluation complexity

Fortunately, these reasons reinforce each other

Where a value is definitely computed and possibly computed multiple times, always give it a name and compute it once

# Sorting

We once wrote a **sort-list** function:

```
; sort-list : list-of-num -> list-of-num
(define (sort-list l)
  (cond
    [(empty? l) empty]
    [(cons? l) (insert (first l) (sort-list (rest l)))]))
```

How long does it take to sort a list of  $n$  numbers?

We have only one recursive call to **sort-list**, so it doesn't have the same problem as before...

# Insertion Sort

... but what about **insert**?

```
; sort-list : list-of-num -> list-of-num
(define (sort-list l)
  (cond
    [(empty? l) empty]
    [(cons? l) (insert (first l) (sort-list (rest l)))]))
```

```
; insert : num list-of-num -> list-of-num
(define (insert n l)
  (cond
    [(empty? l) (list n)]
    [(cons? l)
     (cond
       [(< n (first l)) (cons n l)]
       [else (cons (first l) (insert n (rest l)))])]))
```

On each iteration of **sort-list**, there's a call to **sort-list** and a call to **insert**

# Insert Time

**insert** itself is like the repaired **max-item**:

```
; insert : num list-of-num -> list-of-num
(define (insert n l)
  (cond
    [(empty? l) (list n)]
    [(cons? l)
     (cond
       [(< n (first l)) (cons n l)]
       [else (cons (first l) (insert n (rest l)))]))]))
```

In the worst case, **insert** into a list of size  $n$  takes  $k_1 + k_2n$

The variables  $k_1$  and  $k_2$  stand for some constant

# Insertion Sort Time

Given that the time for **insert** is  $k_1 + k_2n$ ...

```
; sort-list : list-of-num -> list-of-num
(define (sort-list l)
  (cond
    [(empty? l) empty]
    [(cons? l) (insert (first l) (sort-list (rest l)))]))
```

The time for **sort-list** is defined by

$$\mathbf{T}(0) = k_3$$

$$\mathbf{T}(n) = k_4 + \mathbf{T}(n-1) + k_1 + k_2n$$

# Insertion Sort Time

$$\mathbf{T}(0) = k_3$$

$$\mathbf{T}(n) = k_4 + \mathbf{T}(n-1) + k_1 + k_2n$$

Even if each  $k$  were only 1:

$$\mathbf{T}(0) = 1$$

$$\mathbf{T}(1) = 4$$

$$\mathbf{T}(2) = 8$$

$$\mathbf{T}(3) = 13$$

$$\mathbf{T}(4) = 19$$

...

- In the long run,  $\mathbf{T}(n)$  is a lot like  $n^2$
- This is a lot better than  $2^n$  — but sorting a list of 10,000 items takes more than 100,000,000 steps

# Sorting Algorithms

- The **list-of-num** template leads to the **insertion sort** algorithm
  - It's not practical for large lists
- **Algorithms** such as **quick sort** and **merge sort** are faster

# Merge Sort

```
(define (merge-sort l)
  (cond
    [(or (empty? l) (empty? (rest l))) l]
    [else
     (local [(define a-half (even-items l))
              (define b-half (odd-items l))]
           (merge-lists
            (merge-sort a-half)
            (merge-sort b-half))))]))
```

- **even-items** and **odd-items** each take  $k_5 + k_6n$  steps
- **merge-lists** takes  $k_7 + k_8n$  steps
- So, for **merge-sort**:

$$\mathbf{T}(0) = k_9$$

$$\mathbf{T}(1) = k_{10}$$

$$\mathbf{T}(n) = k_{11} + 2\mathbf{T}(n/2) + 2k_5 + 2k_6n + k_7 + k_8n$$



# Merge Sort Time

Simplify by collapsing constants:

$$\mathbf{T}(n) = k_{12} + 2\mathbf{T}(n/2) + k_{13}n$$

Setting constants to 1:

...

$$\mathbf{T}(5) = 21$$

$$\mathbf{T}(6) = 27$$

$$\mathbf{T}(7) = 33$$

$$\mathbf{T}(8) = 39$$

$$\mathbf{T}(9) = 46$$

...

In the long run,  $\mathbf{T}(n)$  is a lot like  $n \log_2 n$

- Sorting a list of 10,000 items takes something like 100,000 steps (which is 1,000 times faster than insertion sort)

# The Cost of Computation

The study of execution time is called **algorithm analysis**, and the theoretical bound for a given problem is the subject of **complexity theory**

Practical points:

1. Use **local** to avoid redundant computations
  - Something you can always do to tame evaluation
2. Algorithms like **merge-sort** are in textbooks
  - You mostly learn them, not invent them

Other courses teach you more about the second category